

## **Resistance of Natural Killer T Cell-deficient Mice to Systemic Shwartzman Reaction**

By Francesco Dieli,\* Guido Sireci,\* Domenica Russo,‡  
Masaru Taniguchi,§ Juraj Ivanyi,|| Carmen Fernandez,¶  
Marita Troye-Blomberg,¶ Giacomo De Leo,\* and Alfredo Salerno\*‡

*From the \*Department of Biopathology, University of Palermo, and the ‡Institute for Advanced Diagnostic Methodologies, National Research Council, 90134 Palermo, Italy; the §Division of Molecular Immunology, Center for Biomedical Science, School of Medicine, Chiba University, Chiba 260-8670, Japan; the ||King's College London at Guy's Medical and Dental School, London SE1 9RT, United Kingdom; and the ¶Department of Immunology, Stockholm University, S-106 91 Stockholm, Sweden*

### **Abstract**

The generalized Shwartzman reaction in mice which had been primed and challenged with lipopolysaccharide (LPS) depends on interleukin (IL)-12-induced interferon (IFN)- $\gamma$  production at the priming stage. We examined the involvement in the priming mechanism of the unique population of V $\alpha$ 14 natural killer T (NKT) cells because they promptly produce IFN- $\gamma$  after IL-12 stimulation. We report here that LPS- or IL-12-primed NKT cell genetically deficient mice were found to be resistant to LPS-elicited mortality. This outcome can be attributed to the reduction of IFN- $\gamma$  production, because injection of recombinant mouse IFN- $\gamma$ , but not injection of IL-12, effectively primed the NKT cell-deficient mice. However, priming with high doses of LPS caused mortality of severe combined immunodeficiency, NKT cell-deficient, and CD1-deficient mice, indicating a major contribution of NKT cells to the Shwartzman reaction elicited by low doses of LPS, whereas at higher doses of LPS NK cells play a prominent role. These results suggest that the numerically small NKT cell population of normal mice apparently plays a mandatory role in the priming stage of the generalized Shwartzman reaction.

Key words: natural killer T cells • interferon  $\gamma$  • interleukin 12 • lipopolysaccharide • Shwartzman reaction

### **Introduction**

The generalized Shwartzman reaction is a lethal shock syndrome of mice after two consecutive injections of LPS. IL-12, IFN- $\gamma$ , and TNF- $\alpha$  are known to be involved in the pathogenesis (1), but IL-12 is pivotal in the priming phase because it induces IFN- $\gamma$  production (2, 3), which is crucial for its ability to induce large amounts of TNF- $\alpha$ , IL-1, and possibly other inflammatory cytokines. In any event, IFN- $\gamma$  production in the sensitization phase is essential for the development of postchallenge mortality, because neither TNF- $\alpha$  or IL-1 alone, nor a combination of both of these cytokines, causes mortality (2).

NKT cells are a unique population of T lymphocytes that express the NK1.1 molecule (4). They use an invariant

TCR  $\alpha$  chain encoded by the V $\alpha$ 14 and J $\alpha$ 281 gene segments and are usually CD4<sup>-</sup>CD8<sup>-</sup>, although NKT cells residing in the liver coexpress CD4 (5). Stimulation of NKT cells by cross-linking the NK1.1 molecules (6), or incubation with either IL-12 (7) or the synthetic ligand  $\alpha$ -galactosylceramide (8), promptly induces IFN- $\gamma$  production.

We considered that the V $\alpha$ 14 subset of NKT cells could be involved in the generalized Shwartzman reaction on the following grounds: (a) IL-12 exerts potent activation of NKT cells and promotes very early IFN- $\gamma$  production (6, 7); (b) inoculation of LPS activates NKT cells in the liver through IL-12 production by Kupffer cells (9); and (c) functional impairment or depletion of NKT cells by Abs to cell surface markers (10, 11), or by genetic knockout of either  $\beta_2$ -microglobulin (11) or CD7 (12) molecules, resulted in resistance against the generalized Shwartzman reaction. However, the impairment of NKT cells in these studies also affected other lymphoid populations or did not separate the

Address correspondence to Alfredo Salerno, Institute of Advanced Diagnostic Methodologies, National Research Council, Corso Tukory, 211, 90134 Palermo, Italy. Phone: 39-091-655-5903; Fax: 39-091-655-5901; E-mail: asalerno@unipa.it

LPS priming and elicitation phases. Furthermore, distinct roles of NK and NKT cells were attributed in the LPS-induced liver injury and mortality, respectively (13).

Therefore, it seemed desirable to focus further on the identification of the cellular target, using mice with highly selective depletion of the V $\alpha$ 14 NKT cell subset in the thymus, spleen, lymph nodes, liver, and bone marrow (14).

## Materials and Methods

**Mice.** C57BL/6, BALB/c, and C.B.-17 SCID mice were purchased from OLAC Ltd. Generation of J $\alpha$ 281-deficient mice has been described previously (14). Mice which lack the J $\alpha$ 281 gene segment are devoid of V $\alpha$ 14<sup>+</sup> NKT cells while having the other lymphoid cell lineages intact (14). Homogeneous populations were established by backcrossing heterozygous mice to C57BL/6 or BALB/c mice for more than five generations. The resultant heterozygous mice were bred to obtain homozygotes (14). CD1-deficient mice were purchased from The Jackson Laboratory and backcrossed to C57BL/6 mice for five generations. Mice were fed and kept under specific pathogen-free conditions and used at 8–12 wk of age. In each experiment, age- and sex-matched mice were used.

**Induction of the Shwartzman Reaction.** Mice were injected intravenously (11) with 40  $\mu$ g *Escherichia coli*-derived, phenol-extracted LPS (Sigma-Aldrich), or intraperitoneally with 0.5  $\mu$ g recombinant murine IL-12 (specific activity 0.7–2.1  $\times$  10<sup>7</sup> U/mg; BD PharMingen) or IFN- $\gamma$  (specific activity 0.3–1  $\times$  10<sup>8</sup> U/mg; BD PharMingen). Endotoxin levels of recombinant cytokines were <0.1 ng/ $\mu$ g protein. 24 h later, mice were challenged by intravenous injection of 400  $\mu$ g phenol-extracted LPS (11).

In the experiments reported in Fig. 3, mice were injected intravenously with different doses of two preparations of phenol-extracted LPS, one of which was purified by ion-exchange chromatography (both preparations from Sigma-Aldrich), and were challenged by intravenous injection of 400  $\mu$ g phenol-extracted LPS. The occurrence of generalized Shwartzman reaction was evaluated by mortality.

**Assay for Serum Cytokine Levels.** Serum levels of IL-12 and IFN- $\gamma$  were measured 20 h after priming with LPS or IL-12 (11). TNF- $\alpha$  serum levels were measured 1 h after challenge with LPS (11). Cytokine levels were assessed by sandwich ELISA using commercially available (BD PharMingen) pairs of mAbs and recombinant cytokines for setting standard curves.

**Histological Analysis.** Mice were killed by cervical dislocation 8–12 h after challenge with LPS. The livers and kidneys were removed immediately, fixed with 10% formalin for 12 h, and embedded in paraffin. Several tissue sections were deparaffinized and stained with hematoxylin and eosin.

**Preparation of Liver Mononuclear Cells and Cell Sorting.** Liver mononuclear cells were prepared as described (15). In brief, livers were minced, passed through a mesh, and suspended in complete RPMI 1640 (GIBCO BRL). After washing, the cells were resuspended in 30% Percoll containing 100 U/ml heparin and centrifuged at 2,000 rpm for 20 min at room temperature. The cell pellet was washed once in medium and then resuspended in cold distilled water for 20 s to lyse red blood cells by osmotic shock. After lysis, the cell suspension was diluted with NaCl solution to readjust the osmotic pressure and was then washed with RPMI 1640 supplemented with 10% heat-inactivated FCS. NK1.1<sup>+</sup> and NK1.1<sup>-</sup> cells were sorted by incubating liver mononuclear cells with anti-NK1.1 (PK 136, mouse IgG2a; BD PharMingen) and anti-mouse Ig-coated immunomagnetic beads (Advanced Mag-

**Table I.** Induction of the Generalized Shwartzman Reaction in Normal and NKT-deficient Mice

Strain	Priming	Challenge	Deaths/ tested		Mortality	IL-12	IFN- $\gamma$	TNF- $\alpha$
			<i>n</i>	%				
C57BL/6	–	LPS	0/15	0				
	LPS	–	0/15	0				
	LPS	LPS	13/15	93	1.2 $\pm$ 4	7.8 $\pm$ 1.4	80 $\pm$ 10	
C57BL/6 NKT <sup>-/-</sup>	–	LPS	0/15	0				
	LPS	–	0/15	0				
	LPS	LPS	1/15	7*	1.3 $\pm$ 0.2	<b>0.2 <math>\pm</math> 0.05<sup>‡</sup></b>	<b>0.35 <math>\pm</math> 0.09<sup>‡</sup></b>	
BALB/c	–	LPS	0/15	0				
	LPS	–	0/15	0				
	LPS	LPS	15/15	100	0.9 $\pm$ 0.2	5.4 $\pm$ 0.9	68 $\pm$ 5	
BALB/c NKT <sup>-/-</sup>	–	LPS	0/15	0				
	LPS	–	0/15	0				
	LPS	LPS	0/15	0*	0.8 $\pm$ 0.1	<b>0.18 <math>\pm</math> 0.05<sup>‡</sup></b>	<b>0.25 <math>\pm</math> 0.06<sup>‡</sup></b>	

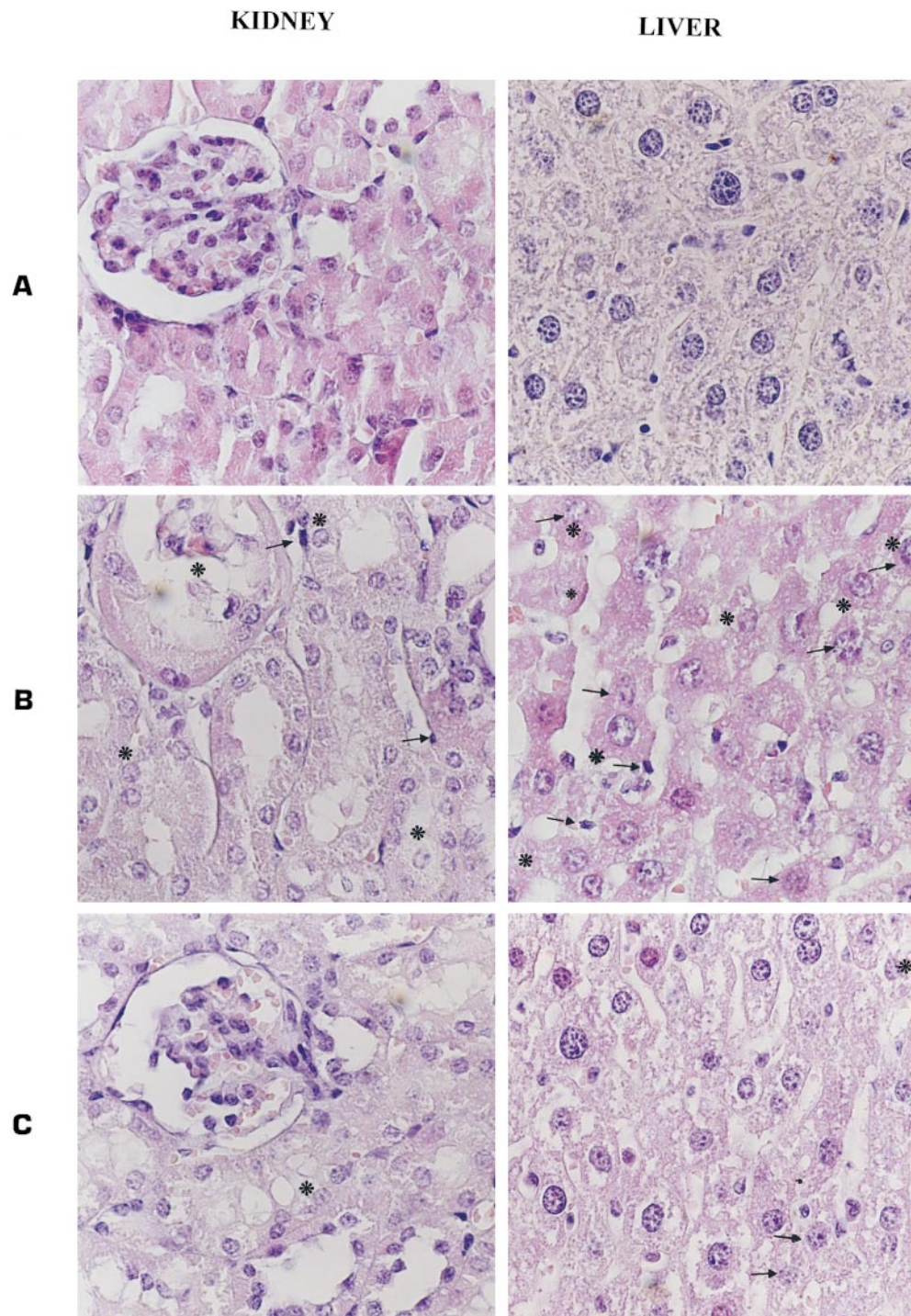
\* $P$  < 0.00001 by the  $\chi^2$  test compared with normal C57BL/6 and BALB/c mice.

<sup>‡</sup>Statistically significant compared with normal C57BL/6 and BALB/c mice ( $P$  < 0.0001 by Student's  $t$  test).

netics), as described previously (16). Purification of cell subsets was routinely >90% as determined by FACS® analysis, and cell viability was also >90% as determined by trypan blue exclusion.

**Intracellular Staining for IFN- $\gamma$ .** Intracellular staining was used to determine IFN- $\gamma$  production at the single cell level. NK1.1<sup>+</sup> and NK1.1<sup>-</sup> cells were cultured for 5 h with Brefeldin A (Sigma-Aldrich) to accumulate intracellularly new synthesized protein. Cells were harvested and fixed with 4% (wt/vol) paraformaldehyde in PBS for 10 min at room temperature. Fixed cells were suspended and washed twice with permeabilization buffer con-

taining 0.1% saponin (Sigma-Aldrich), 1% heat-inactivated FCS, and 0.1% NaN<sub>3</sub> in PBS. The permeabilized cells were then incubated in the presence of saponin with PE-conjugated anti-mouse IFN- $\gamma$  mAb (XMG1.2, rat IgG1; BD PharMingen) or a PE-conjugated isotype control mAb (R3-34, rat IgG1; BD PharMingen) for 30 min at room temperature. After being washed at room temperature, the cells were analyzed with a FACScan™ flow cytometer (Becton Dickinson). Viable lymphocytes were gated by forward and side scatter and analysis was performed on 10,000 acquired events for each sample.



**Figure 1.** Focal necrosis/apoptosis and mononuclear cell infiltrate in the liver and kidney of untreated C57BL/6 mice (A), or C57BL/6 (B) and NKT-deficient C57BL/6 (C) mice injected and challenged with LPS (original magnification: 10 × 40). Asterisks indicate areas of necrosis/apoptosis and arrows indicate mononuclear cells infiltration.

To identify the phenotype of the IFN- $\gamma$ -producing cells, surface marker analysis was performed by staining the cells with FITC-conjugated anti-TCR- $\alpha/\beta$  (H57-597, hamster IgG; BD PharMingen) before paraformaldehyde fixation.

**Statistics.** The  $\chi^2$  and the Student's *t* tests were used to compare significance of differences between groups.

## Results and Discussion

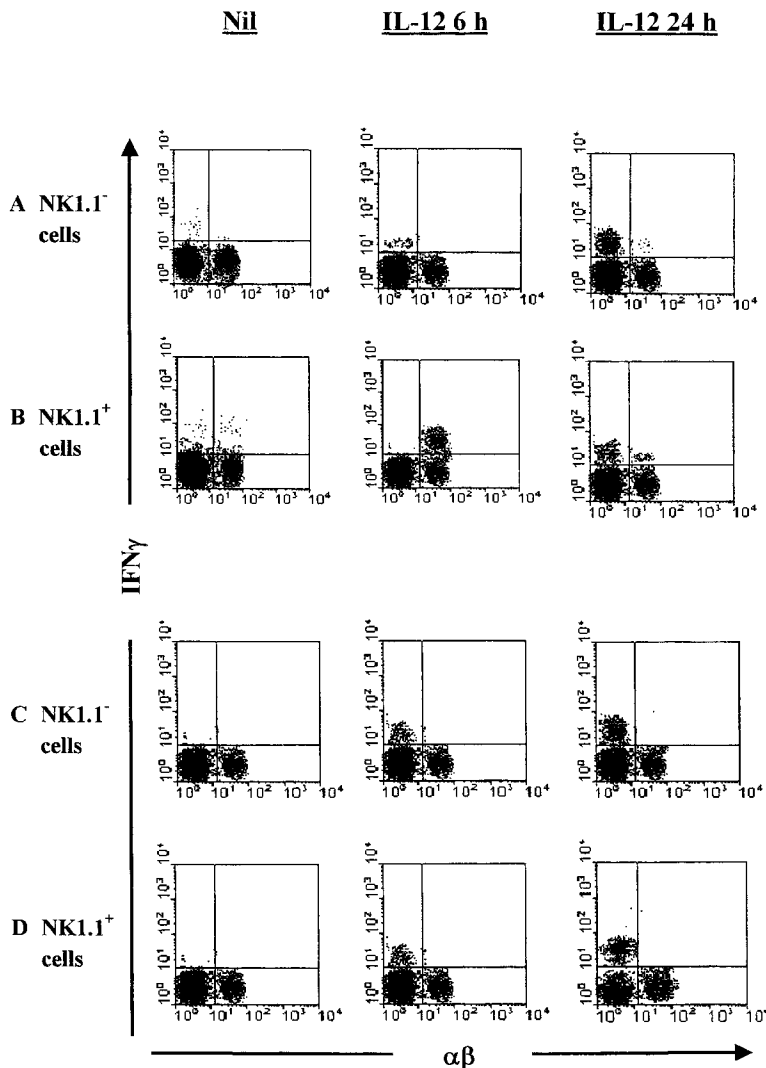
The results obtained after priming and challenge with LPS showed that both C57BL/6 and BALB/c mice had very high 72-h mortality rates of 97% (13/15 mice) and 100% (15/15 mice), respectively, and very high serum levels of IL-12, IFN- $\gamma$ , and TNF- $\alpha$  (Table I). However, a strikingly high survival of 93% (14/15 mice) NKT-deficient C57BL/6 mice and 100% (15/15) NKT-deficient BALB/c mice was observed (Table I). Moreover, NKT-deficient mice had low serum levels of IFN- $\gamma$  and TNF- $\alpha$ , whereas serum IL-12 levels were comparable to control mice.

The histological analysis (Fig. 1) showed areas of focal necrosis/apoptosis and mononuclear cell infiltrates in livers and kidneys of control mice and very poor focal necrosis/

apoptosis and mononuclear cell infiltrates in the livers and kidneys of NKT-deficient mice, thus corroborating the observed mortality rates. Altogether, these results clearly demonstrated that the lack of NKT cells protects mice from the generalized Shwartzman reaction accompanied by reduced levels of IFN- $\gamma$  and TNF- $\alpha$ .

The finding of reduced IFN- $\gamma$ , but normal IL-12 levels in sera from NKT-deficient mice (Table I) suggested that defective IFN- $\gamma$  production was responsible for protection from lethal shock and that NKT cells were the main source of LPS-induced IFN- $\gamma$  production.

We directly analyzed this finding by intracellular staining of IFN- $\gamma$  produced by NK1.1<sup>+</sup> and NK1.1<sup>-</sup> cells sorted from the livers of IL-12-primed NKT and control C57BL/6 mice. The cell sorting analysis showed that in normal C57BL/6 mice, IL-12 treatment increased from 0.3 to 42% the numbers of IFN- $\gamma$ <sup>+</sup> cells (Fig. 2 B) at 6 h, and combined cytoplasmic and surface analysis further showed that NK1.1<sup>+</sup> cells were also  $\alpha\beta$ <sup>+</sup>, whereas low numbers of IFN- $\gamma$ -producing cells were detected within the NK1.1<sup>+</sup> $\alpha\beta$ <sup>-</sup> population. However, as shown in Fig. 2,



**Figure 2.** IFN- $\gamma$  production by liver mononuclear cells after priming with IL-12. NK1.1<sup>+</sup> and NK1.1<sup>-</sup> cells were sorted from the livers of C57BL/6 (A and B) and NKT-deficient (C and D) mice that had been primed 6 or 24 h previously with IL-12, or left untreated (Nil). The cells were surface stained with FITC-anti-TCR- $\alpha/\beta$  mAb and intracellularly stained with PE-anti-IFN- $\gamma$  mAb, and analyzed by FACScan<sup>TM</sup>. The analysis gate was set on small lymphocytes by forward and side scattering. The figure shows representative results from three different experiments, each carried out with five mice per group.

**Table II.** *IFN- $\gamma$ , but Not IL-12, Primes NKT Cell-deficient Mice for the Generalized Shwartzman Reaction*

Strain	Priming	Challenge	Deaths/		TNF- $\alpha$
			tested	Mortality	
			<i>n</i>	%	<i>ng/ml</i>
C57BL/6	IL-12	LPS	13/15	86	91 $\pm$ 11
C57BL/6 NKT <sup>-/-</sup>	IL-12	LPS	1/15	7*	0.3 $\pm$ 0.05 <sup>‡</sup>
C57BL/6	IFN- $\gamma$	LPS	12/15	80	65 $\pm$ 8
C57BL/6 NKT <sup>-/-</sup>	IFN- $\gamma$	LPS	10/15	67*	54 $\pm$ 6 <sup>‡</sup>

\* $P < 0.00001$  by the  $\chi^2$  test compared with normal C57BL/6 mice.

<sup>‡</sup>Statistically significant compared with normal C57BL/6 mice ( $P < 0.0001$  by Student's *t* test).

A and B, treatment with IL-12 for 24 h induced IFN- $\gamma$  staining of both NK1.1<sup>+</sup> $\alpha\beta$ <sup>-</sup> (NK cells; Fig. 2 B) and NK1.1<sup>-</sup> $\alpha\beta$ <sup>-</sup> cells (Fig. 2 A), whereas poor IFN- $\gamma$  staining was detected within the NK1.1<sup>+</sup> $\alpha\beta$ <sup>+</sup> population (NKT cells; Fig. 2 B).

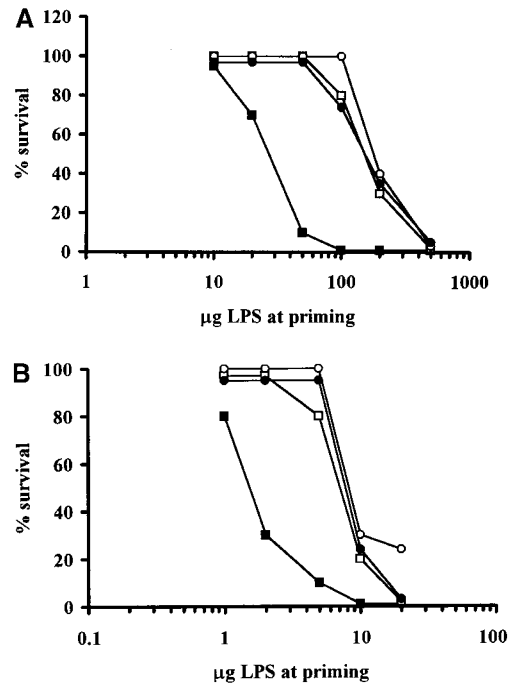
These data have been confirmed in NKT cell-deficient mice (Fig. 2, C and D): IL-12 treatment for 6 h induced IFN- $\gamma$  production by both NK1.1<sup>-</sup> $\alpha\beta$ <sup>-</sup> (Fig. 2 C) and NK1.1<sup>+</sup> $\alpha\beta$ <sup>+</sup> (Fig. 2 D) cells, and the percentages of IFN- $\gamma$ <sup>+</sup> NK1.1<sup>-</sup> $\alpha\beta$ <sup>-</sup> (Fig. 2 C) and NK1.1<sup>+</sup> $\alpha\beta$ <sup>+</sup> (Fig. 2 D) cells increased after 24 h of treatment with IL-12.

Together, the above reported results suggest that at an early time (6 h) after IL-12 injection, NKT cells are the main source of IFN- $\gamma$  in the liver, whereas at a later time after IL-12 injection (24 h), NK cells (17, 18) and other cells (presumably dendritic cells, as described recently [19]) become the main IFN- $\gamma$ -producing cells.

Of note, the absolute number of liver NKT cells was not modified 6 h after IL-12 treatment ( $1.2 \pm 0.3 \times 10^6$ /liver in IL-12 treated mice vs.  $1 \pm 0.4 \times 10^6$ /liver in control mice), but was strongly reduced 24 h after IL-12 treatment ( $0.22 \pm 0.08 \times 10^6$ /liver), thus supporting the possibility that IL-12 causes activation-induced cell death of liver NKT cells, as demonstrated recently (20).

It was of further major importance to compare the priming effects of IL-12 and IFN- $\gamma$  inoculation on the mortality of LPS-challenged NKT cell-defective mice. The results after IL-12 priming showed only very low mortality (1/15; 7%) in NKT cell-deficient mice, but high mortality (13/15; 86%) of control mice (Table II, top). Accordingly, NKT cell-deficient mice had lower serum levels of TNF- $\alpha$  than control mice. This result suggests that the V $\alpha$ 14 NKT cell population was the primary functional target for IL-12 because the mutant mice exclusively lack V $\alpha$ 14 NKT cells while the other lymphoid populations are intact. We attribute the failure of IL-12 to prime for the Shwartzman reaction in NKT cell-deficient mice to their intrinsic defect to produce IFN- $\gamma$ .

We further tested this hypothesis by priming the NKT cell-deficient mice with IFN- $\gamma$ . As expected, the postchal-



**Figure 3.** Induction of the Shwartzman reaction in normal C57BL/6 (■), SCID (○), NKT-deficient (□), and CD1-deficient (●) mice. Mice were primed by intravenous injection of different doses of phenol-extracted LPS (A), or phenol-extracted and ion-exchange chromatography-purified LPS (B). 24 h later, they were challenged by intravenous injection of 400  $\mu$ g phenol-extracted LPS.

lenge mortality was found to be high in both NKT cell-deficient (10/15; 67%) and control (12/15; 80%) mice (Table II, bottom). Both strains also had comparably elevated serum levels of TNF- $\alpha$ .

Previous studies have reported a role for NK cells in the response to LPS and Shwartzman reaction (10). Importantly, Caligiuri and coworkers (21) have clearly demonstrated that the Shwartzman reaction can be elicited in SCID mice, which have no NKT cells. To address the possible role of NK and NKT cells, we analyzed the Shwartzman reaction elicited by injection of different doses and preparation of LPS in SCID, NKT-deficient, and CD1-deficient and control mice.

The results obtained (Fig. 3 A) showed that normal C57BL/6 mice had very high mortality rates of 90% (9/10 mice) upon priming with 50  $\mu$ g LPS, whereas all SCID, NKT-, and CD1-deficient mice survived this priming dose. However, priming with 200  $\mu$ g LPS caused 60–70% mortality in SCID, NKT-deficient, and CD1-deficient mice, and all the mutant mice succumbed after priming with 500  $\mu$ g LPS.

Similar results have been obtained using a different LPS preparation purified by ion-exchange chromatography (Fig. 3 B). In this experiment, 90% (9/10 mice) of normal C57BL/6 mice succumbed upon priming with 5  $\mu$ g LPS, whereas all SCID, NKT-deficient, and CD1-deficient mice survived. However, priming with 50  $\mu$ g LPS caused 80% mortality of SCID, NKT-, and CD1-deficient mice.

We interpret these results to indicate that NKT cells make a major contribution to the Shwartzman reaction elicited by low doses of LPS, whereas at higher doses of LPS NK cells play a prominent role. The relative contribution of NKT and NK cells might be variably dependent on the amount of IL-12 produced in response to the doses of LPS administered. It has been recently demonstrated that both NK and NKT cells constitutively express the IL-12 receptor (7), although the expression on NKT cells was higher than that on NK cells (7). Therefore, the large amount of IL-12 produced by injection of high doses of LPS in NKT-deficient mice might be sufficient to activate NK cells to overcome the impairment of NKT cells, which would be preferentially responsive to lower doses of IL-12 (and, by extension, of LPS). This possibility has been recently raised to explain controversial results on the role of NK and NKT cells in IL-12-induced immune responses in vivo, with particular regard to its antitumor effects (7, 22, 23).

In conclusion, a comprehensive explanation of the pathogenesis of the Shwartzman reaction emerges, whereby: LPS-induced IL-12 production by macrophages promotes IFN- $\gamma$  production by NKT or NK cells (first priming step), and subsequent challenge with LPS induces massive production of inflammatory cytokines (including TNF- $\alpha$ ) by IFN- $\gamma$ -sensitized macrophages (second effector step), which then cause hepatocyte necrosis/apoptosis and death. The novel important finding here is that NKT cells as a source of IFN- $\gamma$  after LPS or IL-12 priming play an essential pathogenic role in the development of generalized Shwartzman reactions.

The identification of this role of NKT cells opens new possibilities for cell-targeted intervention against diseases that may involve this pathogenesis (e.g., tuberculosis [24]). One possibility could involve ligands resulting in Fas-mediated apoptosis of NKT cells (25). Finally, our data also indicate that it may be prudent to exercise caution when considering the use of IL-12 as a vaccine adjuvant (26).

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