

ERBIN deficiency links STAT3 and TGF- β pathway defects with atopy in humans

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Nonimmunological connective tissue phenotypes in humans are common among some congenital and acquired allergic diseases. Several of these congenital disorders have been associated with either increased TGF- β activity or impaired STAT3 activation, suggesting that these pathways might intersect and that their disruption may contribute to atopy. In this study, we show that STAT3 negatively regulates TGF- β signaling via ERBB2-interacting protein (ERBIN), a SMAD anchor for receptor activation and SMAD2/3 binding protein. Individuals with dominant-negative STAT3 mutations ($STAT3^{mut}$) or a loss-of-function mutation in ERBB2IP ($ERBB2IP^{mut}$) have evidence of deregulated TGF- β signaling with increased regulatory T cells and total FOXP3 expression. These naturally occurring mutations, recapitulated in vitro, impair STAT3-ERBIN-SMAD2/3 complex formation and fail to constrain nuclear pSMAD2/3 in response to TGF- β . In turn, cell-intrinsic deregulation of TGF- β signaling is associated with increased functional IL-4R α expression on naive lymphocytes and can induce expression and activation of the IL-4/IL-4R α /GATA3 axis in vitro. These findings link increased TGF- β pathway activation in $ERBB2IP^{mut}$ and $STAT3^{mut}$ patient lymphocytes with increased T helper type 2 cytokine expression and elevated IgE.

INTRODUCTION

Several human syndromes present with atopy and connective tissue abnormalities providing genetic links between allergic findings—such as atopic dermatitis, elevated serum IgE, and eosinophilic esophagitis—and joint hypermobility, retained primary dentition, or vascular malformation (Holland et al., 2007; Morgan et al., 2007; Abonia et al., 2013; Frischmeyer-Guerrero et al., 2013; Davis et al., 2016; Lyons et al., 2016). Enhanced cell-intrinsic TGF- β activity has been described in several connective tissue, hypermobility, and vascular phenotypes (Coucke et al., 2006; Loeys et al., 2006; Morissette et al., 2014), whereas dominant-negative $STAT3$ mutations have been shown to predispose individuals to similar joint and vascular abnormalities as part of the autosomal dominant hyper-IgE syndrome (Freeman and Holland, 2009). However, whether these disorders are mechanistically linked and how altered STAT3 or TGF- β signaling may promote atopy remain unclear.

In vitro knockdown of STAT3 has been shown to potentiate TGF- β -mediated SMAD2/3 activation in human

cancer lines (Luwor et al., 2013). Conversely, overexpression of the constitutively active STAT3 construct (STAT3C) was shown to have a negative effect on TGF- β signaling via SMAD3-STAT3 complex formation in HeCaT cells in vitro (Wang et al., 2016). This negative effect appeared to be dependent on the DNA-binding domain of STAT3, without which SMAD3 and STAT3 were unable to complex. Overexpression of this same construct in HeLa cells was also associated with up-regulation of ERBB2-interacting protein (ERBIN; Hu et al., 2013), a SMAD anchor for receptor activation (SARA) and SMAD-binding protein that negatively regulates TGF- β signaling through cytoplasmic sequestration of SMAD2/3 (Sfliomos et al., 2011).

RESULTS

Using an established SMAD-reporter cell line (Oida and Weiner, 2011), we found that STAT3 activating cytokines IL-6 and IL-11 significantly suppressed TGF- β -mediated

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Abbreviations used: ERBIN, ERBB2-interacting protein; MFI, mean fluorescence intensity.



reporter activity in short-term cultures (Fig. 1 A). Suppression required IL-6 or IL-11 pretreatment, with a maximal effect occurring at 72 h suggesting a transcriptional effect, and correlated proportionally with induction of ERBIN protein expression induced by IL-6 (Fig. 1 B). ERBIN protein expression proved essential for IL-6-mediated pathway suppression, as the suppressive effects observed after pretreatment were completely abolished by ERBIN silencing (Fig. 1 C). ERBIN is known to form protein scaffolds and can complex with SMAD2/3, sequestering it in the cytoplasm, thus preventing transcriptional activity in a manner similar to that seen with STAT3C overexpression in vitro (Sfliomos et al., 2011; Wang et al., 2016). Using gel filtration chromatography, we identified a large molecular weight fraction containing SMAD2/3, STAT3, and ERBIN and that contained pSMAD2/3 after STAT3 activation by IL-6 (Fig. S1 A). By immunoprecipitation, we confirmed that, after STAT3 activation and associated induction of ERBIN, a complex containing SMAD2/3 and STAT3 can form and that ERBIN was required, as knockdown prevented this complex from forming (Fig. 1 D). Furthermore, we found that this complex containing STAT3 was necessary for the suppressive effects of ERBIN, as STAT3 knockdown abolished the reduction in TGF- β pathway activation seen after ERBIN overexpression (Fig. 1 E).

To determine what effect dominant-negative STAT3 mutations found in humans might have on TGF- β signaling, we first overexpressed STAT3-mutant ($STAT3^{mut}$) constructs in the SMAD-reporter cell line and found significant enhancement of responses to TGF- β (Fig. 1 F); similar deregulation of SMAD-reporter activity was seen after STAT3 knockdown (Fig. 1 G). Because mutant STAT3 protein is expressed in these $STAT3^{mut}$ individuals, we next sought to determine whether increased TGF- β activity in vitro resulted from impaired complex formation or reduced ERBIN expression. We confirmed that STAT3 activation by IL-6 was capable of inducing *ERBB2IP* expression in primary human T cells (Fig. 1 H; Hu et al., 2013). However, overexpression of mutant *STAT3* (MYC-*STAT3^{mut}*) with wild-type ERBIN (GFP-*ERBB2IP^{WT}*) showed no impairment in complex formation relative to wild-type *STAT3* (MYC-*STAT3^{WT}*; Fig. 1 I), indicating that the increased TGF- β activity associated with *STAT3^{mut}* transfection was not caused by altered complex formation. We confirmed significantly reduced ERBIN protein expression in primary dermal fibroblasts (from both SH2 and DNA-binding mutant individuals) as well as in CD4 cells from *STAT3^{mut}* patients (Fig. 2, A and B).

Subsequently, we identified a family with a dominantly inherited symptom complex that shared both allergic and nonimmunological connective tissue features with *STAT3^{mut}* patients, including elevated IgE, eosinophilic esophagitis, joint hypermobility, and vascular abnormalities (Table 1 and Fig. 2 C; for phenotypic comparisons, see Table S1). Neither novel nor disease-causing variants were identified at *STAT3*, *TNXB*, *COL3A1*, *TGFB1*, *TGFB2*, *SMAD3*, *TGFB2*, or *TGFB3*. Exome sequencing did identify a rare disease-

segregating variant (c.1588G>T p.[D530Y]) in *ERBB2IP* (allelic frequency 0.000076 in the Exome Aggregation Consortium database; not present in 1000 Genomes database), the gene encoding ERBIN (Fig. 2 C). This variant was predicted to be pathogenic and was associated with significantly reduced ERBIN protein expression in primary dermal fibroblasts and CD4 cells (*ERBB2IP^{mut}*; Fig. 2, A and B). The index patient's father had succumbed to an acute cardiac event related to hypertrophic cardiomyopathy at age 28 yr, a phenotype seen in *ERBB2IP* knockout mice (Rachmin et al., 2014). In addition to reduced ERBIN protein expression, the variant also impaired ERBIN-STAT3 complex formation, as the mutant construct (GFP-*ERBB2IP^{mut}*) failed to coimmunoprecipitate with *STAT3^{WT}* after overexpression (Fig. 2 D). Although the mutant failed to suppress TGF- β signaling in the SMAD-reporter line, a dominant-negative effect on endogenous ERBIN was not observed, as no enhancement in SMAD-reporter activity was seen after *ERBB2IP^{mut}* overexpression (Fig. 2 E).

Reduced ERBIN expression in both *STAT3^{mut}* and *ERBB2IP^{mut}* patient cells and impaired STAT3-ERBIN complex formation in *ERBB2IP^{mut}* patient cells did not affect total cellular pSMAD2/3 levels in response to TGF- β (Fig. 3 A) but was associated with increased nuclear SMAD2/3 levels in primary T cells (Fig. 3 B) and increased nuclear pSMAD2/3 in lymphocytes (Fig. 3, C and D), consistent with the role of ERBIN in sequestering cytoplasmic SMAD2/3. The same effect could be observed after overexpression of *STAT3^{mut}* in 293T cells, which resulted in enhanced nuclear pSMAD2/3 that could be partially normalized by cotransfection with *ERBB2IP^{WT}* (Fig. 3 E).

TGF- β is critical for FOXP3 expression after CD4 cell activation and is likely essential for regulatory T cell (T reg cell) ontogeny (Tran et al., 2007; Ouyang et al., 2010). To determine the potential in vivo consequences of reduced ERBIN expression and associated TGF- β pathway deregulation in *STAT3^{mut}* and *ERBB2IP^{mut}* patients, we began by examining these outcomes in primary cells. 4-h T cell stimulation ex vivo resulted in significantly higher FOXP3 expression among *STAT3^{mut}* and *ERBB2IP^{mut}* patient total CD4 lymphocytes compared with controls (Fig. 4 A, left). This agreed with increased T reg cells ex vivo (defined as $CD4^+FOXP3^+CD25^{\text{bright}}CD127^{\text{low}}$) among *STAT3^{mut}* and *ERBB2IP^{mut}* patient CD4 memory ($CD45RO^+$) cells compared with controls (Fig. 4 A, right). In addition, to increased circulating T reg cells, naive CD4 T cells from *STAT3^{mut}* and *ERBB2IP^{mut}* patients more readily differentiated into inducible T reg cells (iT reg cells) in vitro (Fig. 4 B, bottom), an effect that was found to be dose dependent on TGF- β in control naive CD4 cells (Fig. 4 B, top) but independent of IL-2 concentration in our system, in controls as well as *STAT3^{mut}* and *ERBB2IP^{mut}* cells (Fig. S2, A and B). Furthermore, no difference in IL-2-induced STAT5 phosphorylation within *STAT3^{mut}* patient T cells (Fig. S2 C) or in IL-6-mediated STAT3 phosphorylation within *ERBB2IP^{mut}* patient T cells (Fig. S2 D) was found when compared with controls.

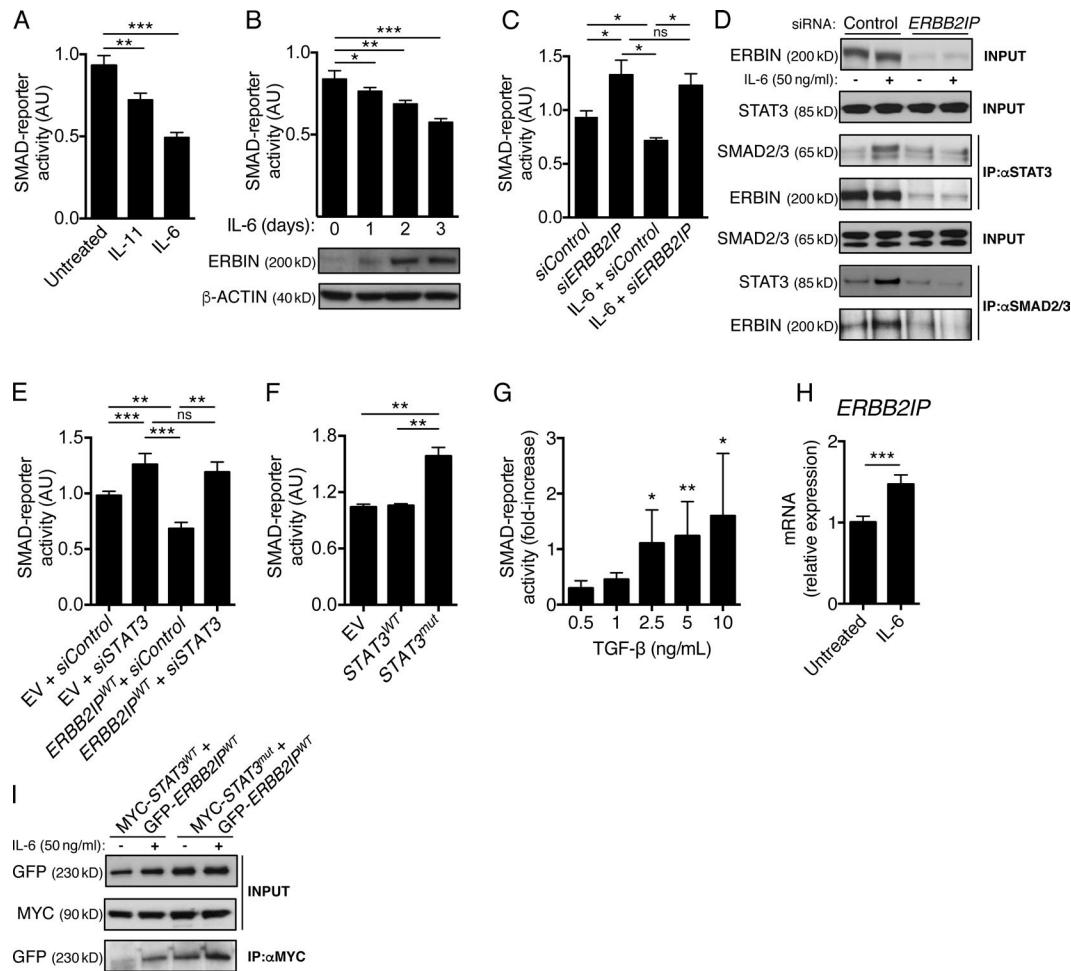


Figure 1. STAT3 negatively regulates TGF- β pathway activation via ERBIN. (A) TGF- β -induced SMAD-pathway activation in 293T SMAD-reporter line after short-term culture in the presence of STAT3-activating cytokine IL-6 or IL-11. (B) TGF- β -mediated pathway activation after stimulation in a SMAD-reporter cell line treated with IL-6 (top) and corresponding immunoblot of ERBIN expression from each culture (bottom). (C) TGF- β -induced SMAD-reporter activity after ERBIN knockdown (*siERBB2IP*) or transfection with scrambled siRNA (*siControl*) after 3-d culture in the presence or absence of IL-6. (D) Representative immunoblot of ERBIN, STAT3, and/or SMAD2/3 after immunoprecipitation (IP) of STAT3 or SMAD2/3 as indicated in 293T cells after siRNA-mediated knockdown of ERBIN in the presence or absence of STAT3 activation induced by treatment with IL-6. (E) TGF- β -induced SMAD-reporter activity after either STAT3 knockdown (*siSTAT3*) or scrambled siRNA transfection and cotransfection with either wild-type ERBIN (*ERBB2IP^{WT}*) or empty vector (EV). (F) TGF- β -induced activation in SMAD-reporter line after transfection with empty vector, wild-type STAT3 (*STAT3^{WT}*), or dominant-negative STAT3-mutant (*STAT3^{mut}*) constructs. (G) TGF- β -induced SMAD-reporter activity after siRNA-mediated STAT3 silencing relative to activity after scrambled siRNA transfection. (H) *ERBB2IP* mRNA expression in purified control pan-T cells after treatment with 50 ng/ml IL-6. (I) Representative immunoblot of GFP after MYC immunoprecipitation in 293T cells in which MYC-tagged wild-type STAT3 (MYC-STAT3^{WT}) or dominant-negative STAT3 (MYC-STAT3^{mut}) constructs and GFP-tagged ERBIN wild-type (GFP-ERBB2IP^{WT}) constructs were overexpressed in the presence or absence of STAT3 activation by IL-6. Data are representative or combined from at least three independent experiments and shown as the mean \pm SEM. Unpaired two-tailed Student's *t* tests and Wilcoxon matched pairs were used where appropriate. *, $P < 0.05$; **, $P < 0.005$; ***, $P < 0.001$. AU, arbitrary units.

The STAT3 DNA-binding domain was previously reported as necessary for STAT3-SMAD3 complex formation and negative regulation of TGF- β activation (Luwor et al., 2013). However, neither the observed increase in FOXP3 expression nor the increase in T reg cell number was dependent on the location of dominant-negative STAT3 mutations (SH2 or DNA-binding domains; Fig. S2 E). Therefore, deleting the DNA-binding domain of STAT3C may have prevented ERBIN transcription and secondarily reduced

complex formation rather than eliminating the requirement of that domain for ERBIN interaction.

During T cell activation, weak TCR signaling has been associated with development of both T helper type 2 cells (Th2 cells) and iT reg cells (Turner et al., 2009; Milner et al., 2010; van Panhuys et al., 2014). Although several mechanisms have been shown to contribute to Th2 cell skewing in this context, TGF- β pathway activation might directly contribute to both. In addition to promoting FOXP3 expression,

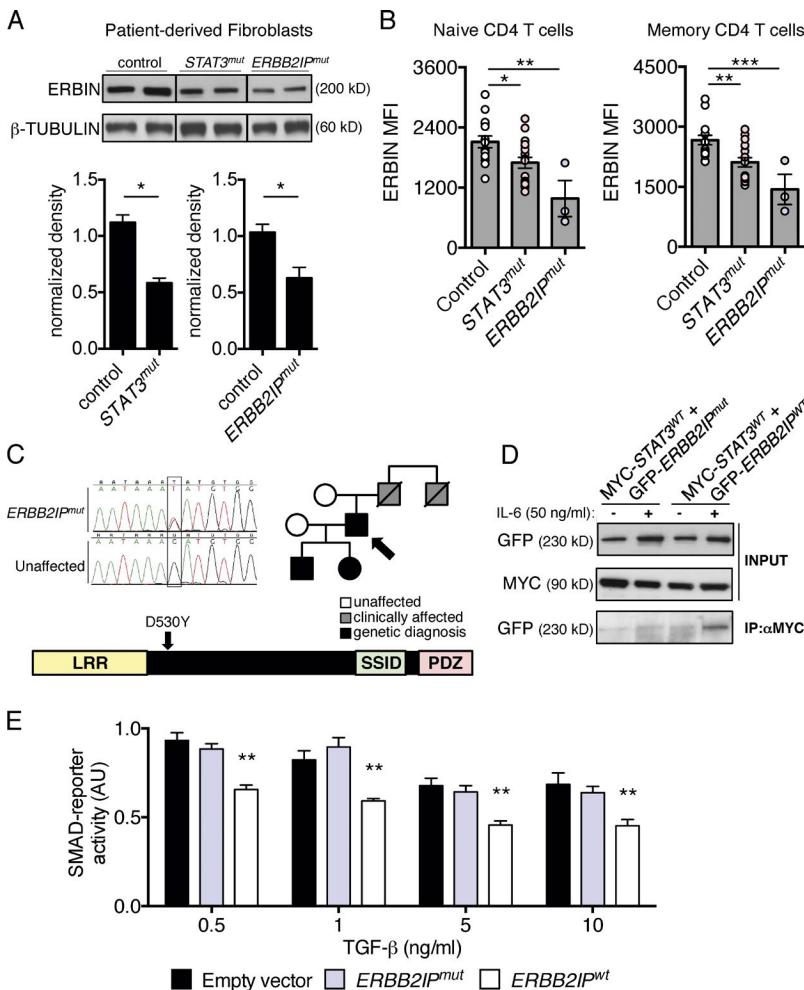


Figure 2. ERBIN expression is reduced in *STAT3^{mut}* patient cells and in newly identified individuals with an *ERBB2IP* mutation. (A, top) Representative immunoblot showing ERBIN expression in primary dermal fibroblasts from control, *STAT3^{mut}*, and *ERBB2IP^{mut}* patients. Lines indicate rearranged segments of one blot. (Bottom) Integrated densitometry of combined data comparing control ($n = 4$) with *STAT3^{mut}* (SH2 mutants, $n = 2$; DNA-binding mutants, $n = 2$) or *ERBB2IP^{mut}* ($n = 2$) individuals. (B) ERBIN expression in naive ($CD45RO^-$) or memory ($CD45RO^+$) CD4 T cells from control ($n = 15$), *STAT3^{mut}* ($n = 17$), and *ERBB2IP^{mut}* ($n = 3$) patients. (C) Pedigree, chromatogram, and schematic of mutation (c.1588G>T p.[D530Y]) in *ERBB2IP* identified in a family with a dominant congenital allergic disorder with connective tissue features. LRR, leucine rich repeat domain; SSID, SMAD-SARA interacting domain. (D) Representative immunoblot of GFP after MYC immunoprecipitation (IP) in 293T cells in which MYC-tagged wild-type *STAT3* (MYC-*STAT3^{WT}*) and GFP-tagged ERBIN-mutant (GFP-*ERBB2IP^{mut}*) or wild-type (GFP-*ERBB2IP^{WT}*) constructs were overexpressed in the presence or absence of *STAT3* activation by IL-6. (E) Effect of wild-type ERBIN (*ERBB2IP^{WT}*) or mutant ERBIN (*ERBB2IP^{mut}*) on TGF- β -induced SMAD-reporter activity. AU, arbitrary units. Data are representative or combined from at least three independent experiments and represented as the mean \pm SEM. Paired and unpaired two-tailed Student's *t* tests and Mann-Whitney tests were used where appropriate. *, $P < 0.05$; **, $P < 0.005$; ***, $P < 0.001$.

TGF- β -dependent SMAD3 activation has been shown to potentiate the transcriptional activity of GATA3, the canonical Th2 transcription factor, through direct complex formation (Blokzijl et al., 2002). TGF- β has also been shown to induce IL-4R α in a SMAD3-dependent manner in a mouse model (Chen et al., 2015). Furthermore, dysregulated expression of GATA3 and GATA3 targets has been reported in syndromic and nonsyndromic allergy (Frischmeyer-Guerrero et al., 2013; Noval Rivas et al., 2015). To determine whether deregulated TGF- β signaling might contribute to either fate, naive CD4 $^+$ T cells were subjected to weak TCR-mediated activation with low-dose anti-CD3, co-stimulated with anti-CD28, and cultured under nonskewing conditions in the presence of IL-2 without exogenous TGF- β . Increased spontaneous iT reg cells were observed in both *STAT3^{mut}* and *ERBB2IP^{mut}* patient cultures compared with controls (Fig. 4 C, top), which could be recapitulated in control naive CD4 cells after siRNA-mediated knockdown of *STAT3* or *ERBB2IP* (Fig. S2 E), and were associated with a significant increase in GATA3 expression from activated (CD25 $^+$) FOXP3 $^-$ cells in these same cultures (Fig. 4 C, bottom). Ex vivo, corresponding increases in T reg cells and total FOXP3

expression (Fig. 3 A) also did not appear dependent on the location of *STAT3* mutations (Fig. S2 F).

Expression of both GATA3 and IL-4 is canonically associated with lineage-committed effector Th2 cell populations. However, GATA3 can drive a multitude of functions beyond CD4 effector function, including but not limited to tissue ILC2s, which could further mucosal allergic responses (Tindemans et al., 2014). In T reg cells, GATA3 expression appears to both limit FOXP3 and promote tolerance at some stages of expression in mice (Wang et al., 2011; Wohlfert et al., 2011; Yu et al., 2015), whereas in humans, coexpression may be pathogenic and has been associated with allergic disease (Frischmeyer-Guerrero et al., 2013; Noval Rivas et al., 2015; Lexmond et al., 2016). Because manipulation of primary cells and expression of these targets may be subject to disease state (e.g., atopic dermatitis flare), we began by examining the effects of TGF- β on the IL-4/IL-4R α /GATA3 axis in Jurkat T cells. Both exogenous TGF- β treatment and *STAT3* knockdown significantly induced *IL4*, *IL4R*, and *GATA3* transcript levels (Fig. 4 D and Fig. S3 A), whereas *TBX21* (encoding T-BET, the canonical Th1 transcription factor) levels were unaffected by TGF- β treatment (Fig. S3 B). Transcription of

Table 1. Immunological findings among *ERBB2IP^{mut}* individuals

	Cell counts			Serum Ig			Antibody titers			Lymphocyte subsets			Serum tryptase
	ALC	ANC	AEC	IgG	IgA	IgM	IgE	Tetanus	Pneumococcus	CD4 ^a	CD8 ^a	CD20 ^a	
Ref.	1,320–3,570	1,780–5,380	40–540	700–1,600	91–499	mg/dL	mg/dL	1.3 µg/ml	>1.3 µg/ml	cells/µl	cells/µl	cells/µl	ng/ml
14-y M	3,290–4,550 ^c	1,430–2,410	520–2,880	368–898	16–51	333–898	0–90	>0.15	16/23	359–1,565	13–50	178–853	0.8–3.6 <11.4
11-y F	4,450–7,720 ^c	1,410–1,860	90–680	693–737	68–89	90–102	10–98	2.21	21/23	1,670	7.2	952	1.5–5.3
43-y M	2,060–3,010	1,810–4,290	80–310	946–1,290	137–194	55–82	20–200	1.62	20/23	2,506	9	1,501	3.4–4.2
									13/23	1,457	20	461	5.5–5.2
												381	1.7

Abbreviations used: AEC, absolute eosinophil count; ANC, absolute lymphocyte count; ANC, absolute neutrophil count; F, female; M, male; Ref., reference range; y, year old.

^aCell memory was defined as CD45RO⁺.^bCell memory was defined as CD27⁺.^cIncreased total lymphocyte counts and reduced memory populations relative to adult reference values are a result of the young age of these individuals and do not represent defective memory formation or other pathology.

IL-4 appeared to be co-dependent on GATA3, as GATA3 knockdown significantly reduced responsiveness to TGF- β (Fig. 4 D); however, consistent with the previous study in mice, *IL4R* induction by TGF- β appeared to have no such dependence on GATA3 coexpression (Chen et al., 2015).

To investigate whether an atopic predisposition may exist *in vivo*, we focused our efforts on IL-4R α expression. In mice, IL-4R α expression on naive CD4 cells has been shown to directly and positively correlate with the number of Th2 effectors that are ultimately formed (Mikhailovich et al., 2006). IL-4R α -mediated STAT6 activation is also critical for class switch recombination to IgE (Geha et al., 2003). First, we determined that IL-4R α surface expression in Jurkat T cells was responsive to TGF- β treatment and that this effect could be partially attenuated by pretreatment with IL-6 (Fig. S3 C). Examining naive lymphocytes *ex vivo*, we subsequently found that *STAT3^{mut}* and *ERBB2IP^{mut}* patients had significantly greater IL-4R α expression compared with controls (Fig. 5, A and B), and brightly IL-4R α -positive (IL-4R hi) cells had greater STAT6 phosphorylation in response to IL-4 (Fig. S3 D). We further found that the STAT6 B cell target CD23 was expressed at higher levels in CD19⁺ lymphocytes from *STAT3^{mut}* and *ERBB2IP^{mut}* patients basally, as others have reported in *STAT3^{mut}* patients (Deenick et al., 2013). After IL-4 stimulation, a significantly greater induction of CD23 in *STAT3^{mut}* naive B cells and both *STAT3^{mut}* and *ERBB2IP^{mut}* memory B cells was also seen compared with controls (Fig. 5 C and Fig. S3 E).

TCR-mediated activation of CD4 lymphocytes has been shown to enhance IL-4R α expression independently of IL-4 expression (Liao et al., 2008); however, exogenous TGF- β alters naive CD4 proliferation and homeostasis (McKarns and Schwartz, 2005). Many compensatory mechanisms exist to tightly regulate and limit the immunological effects of exogenous TGF- β pathway activation (Engel et al., 1998; Miyazono, 2000; Wrana, 2000), and the absence of reported allergic phenotypes among Marfan syndrome patients (caused by *FBN1* mutations) and arterial tortuosity syndrome patients (caused by *SLC2A10* mutations) provides clinical evidence to suggest that cell-intrinsic defects in TGF- β pathway activation are critical to atopic predisposition in this context (Dietz et al., 1991; Coucke et al., 2006). To circumvent this problem and determine the effect that intrinsic deregulation of TGF- β pathway signaling may have on IL-4R α expression, we again cultured naive CD4 lymphocytes with weak TCR stimulation, but, this time, in the presence or absence of selective TGF- β pathway inhibition or a neutralizing TGF- β antibody (α TGF β 1), and looked for an effect on IL-4R α expression and associated GATA3 induction. After short-term culture of naive CD4 cells under non-skewing conditions, elevated IL-4R α expression and, more importantly, GATA3 induction in CD69⁺ activated cells were greater in *STAT3^{mut}* and *ERBB2IP^{mut}* patients compared with controls (Fig. 5 D, and Fig. S4, A and B). Selective SMAD3 inhibition (SMAD3i) had the greatest effect on normalizing GATA3 expression in *STAT3^{mut}* and *ERBB2IP^{mut}* patient

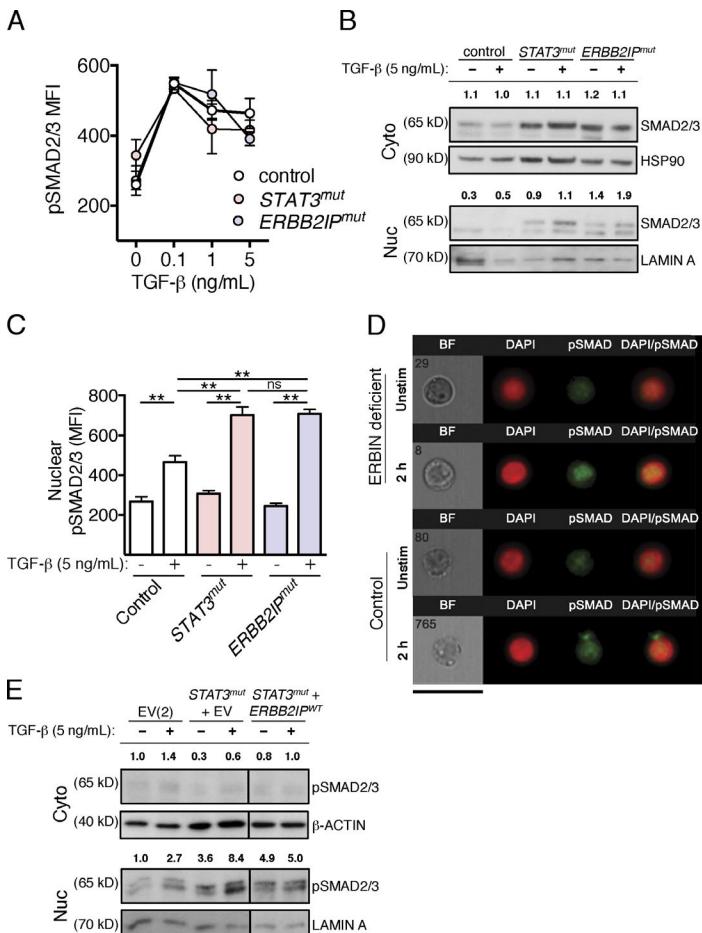


Figure 3. TGF- β -induced nuclear SMAD2/3 is increased in *STAT3^{mut}* and *ERBB2IP^{mut}* lymphocytes. (A) Total pSMAD2/3 MFI shown as mean \pm SEM in control ($n = 4$), *STAT3^{mut}* ($n = 2$), or *ERBB2IP^{mut}* ($n = 2$) CD4 lymphocytes after 2-h stimulation with TGF- β . (B) Representative immunoblot of nuclear (Nuc; bottom) and cytoplasmic (Cyto; top) SMAD2/3 in *ERBB2IP^{mut}* and *STAT3^{mut}* patient PBMcs compared with controls after TGF- β stimulation. Integrated densitometry normalized to lamin A or HSP90 is provided above each condition. (C and D) Representative quantification (C) and images (D) from Amnis ImageStream analysis (>1200 cells per condition) of nuclear pSMAD2/3 with and without TGF- β stimulation in control, *STAT3^{mut}*, and *ERBB2IP^{mut}* patient lymphocytes using a DAPI nuclear mask, shown as geometric mean \pm 95% confidence interval. BF, brightfield; Unstim, unstimulated. Bar, 40 μ m. (E) Representative immunoblot of nuclear (bottom) and cytoplasmic (top) pSMAD2/3 after cotransfection with a dominant-negative *STAT3* (*STAT3^{mut}*) construct and empty vector (EV), wild-type ERBIN (*ERBB2IP^{WT}*), or with only the two corresponding empty vectors (EV[2]). Integrated densitometry normalized to lamin A or β -actin is provided above each condition. Data are representative or combined from at least two independent experiments. Unpaired two-tailed Student's *t* tests and Mann-Whitney tests were used where appropriate. **, $P < 0.005$.

lymphocytes, an effect comparable with IL-4 neutralization (Fig. 5 E), rendering levels below that of untreated controls, whereas the TGF β R1 inhibitor (TGF β R1i) had a modest effect, and α TGF β 1 resulted in only a nonsignificant reduction. Control naive CD4 cells also showed significant reductions in IL-4R α and associated GATA3 expression after SMAD3i and TGF β R1i treatment (Fig. 5 D and Fig. S4 B).

These findings were associated with increased ex vivo expression of the GATA3-dependent Th2 cytokines, IL-4, IL-5, and IL-13 among *STAT3^{mut}* and *ERBB2IP^{mut}* patients (Fig. 6 A). Again, this appeared to be independent of the mutated *STAT3* domain and, importantly, occurred in isolation from any disruption in Th1 cytokine production (Fig. 6 B) and in *ERBB2IP^{mut}* patients was not accompanied by other CD4 defects known to occur in *STAT3^{mut}* patients within Th17 and T follicular helper cell compartments caused by defective *STAT3* signaling (Fig. 6 C). Clinically, these findings manifest as shared allergic and connective tissue phenotypes. However, mucosal susceptibility to candida, impairment in T cell and B cell memory, and class switching appear unique to *STAT3^{mut}* individuals. One *ERBB2IP^{mut}* individual has recently developed clinically asymptomatic hypogammaglobulinemia but has protective antibody responses (Table 1 and Table S1).

DISCUSSION

These results suggest that *STAT3* activation promotes ERBIN expression and negatively regulates TGF- β activity by the formation of a *STAT3*–ERBIN–SMAD2/3 complex, thereby sequestering SMAD2/3 in the cytoplasm. Impaired basal *STAT3* signaling reduces ERBIN expression and deregulates TGF- β pathway activation, leading to increased circulating T reg cells and functional IL-4R α expression on naive lymphocytes in humans. TGF- β can drive IL-4/IL-4R/GATA3 expression in vitro, and enhanced TGF- β -induced SMAD2/3 activity correlates with increased Th2 cytokine-expressing memory cells and elevated serum IgE in *STAT3^{mut}* and *ERBB2IP^{mut}* patients. These findings may help explain why these syndromes share allergic and nonimmunological phenotypes. Moreover, the IL-4R α /GATA3 axis can be restrained in vitro with SMAD3 inhibition in this context, suggesting a novel pathway for treatment of these disorders and potentially for atopic diatheses associated with *STAT3* or TGF- β pathway disruptions more generally. Furthermore, IL-4R α blockade, used successfully in a variety of atopic disorders (Wenzel et al., 2013; Beck et al., 2014; Bachert et al., 2016; Taçi et al., 2016), may be more effective in patients with evidence of disrupted *STAT3* or enhanced TGF- β signaling.

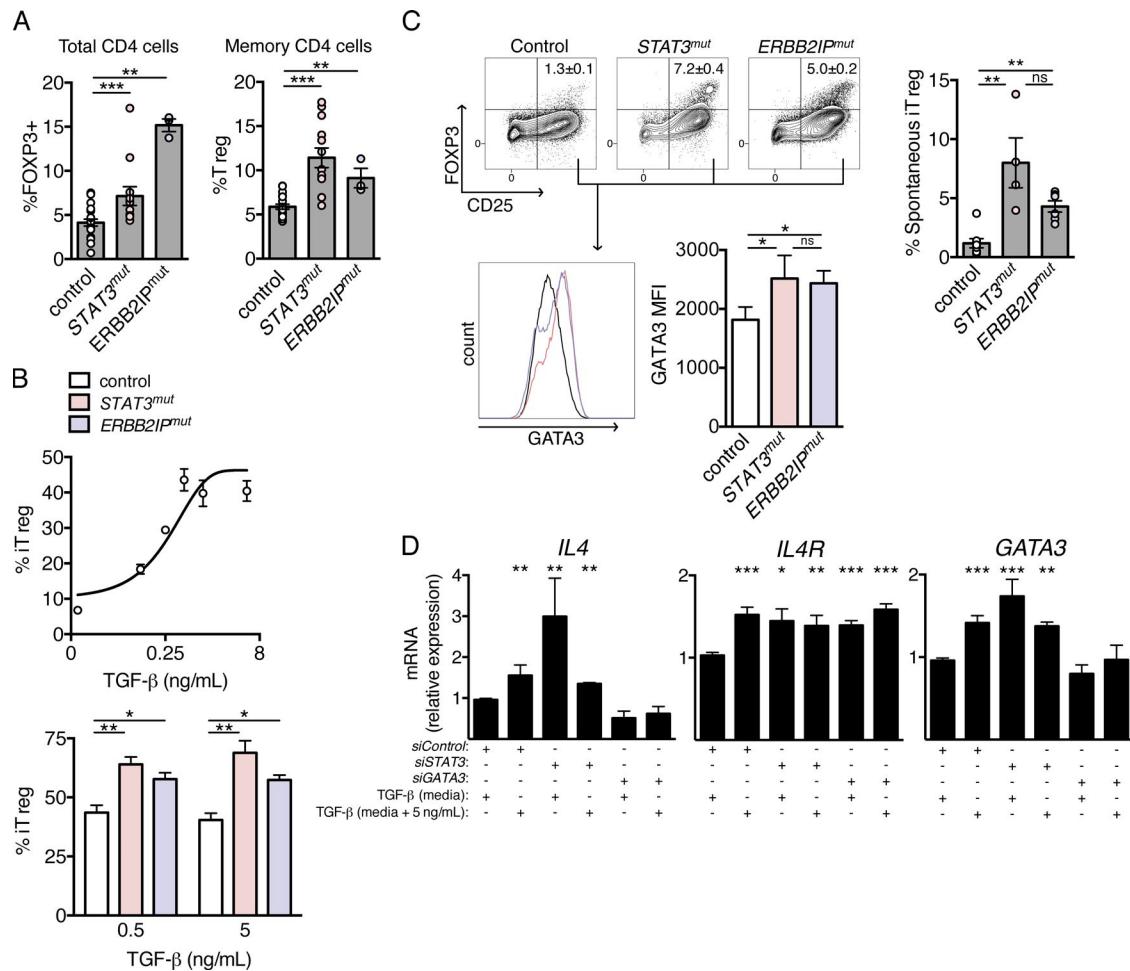


Figure 4. Increased TGF- β sensitivity in *STAT3^{mut}* and *ERBB2IP^{mut}* CD4 lymphocytes is associated with increased T reg cells and induced GATA3 expression in vitro. (A, left) FOXP3 induction in total CD4 $^{+}$ T cells after short-term stimulation of control ($n = 20$), dominant-negative *STAT3*-mutant (*STAT3^{mut}*; $n = 13$), and ERBIN-mutant (*ERBB2IP^{mut}*; $n = 3$) individual cells. (Right) Prevalence of regulatory T cells (T reg) among memory (CD45RO $^{+}$) cells from control ($n = 20$), *STAT3^{mut}* ($n = 12$), and *ERBB2IP^{mut}* ($n = 3$) patients. (B, top) Dose response of inducible regulatory T cells (iT reg) to TGF- β concentration in control naive CD4 lymphocytes in vitro. (Bottom) Percent iT reg cells obtained in cultures of control, *STAT3^{mut}*, and *ERBB2IP^{mut}* patient lymphocytes at the indicated concentrations of TGF- β . (C, top) Contour plot of FOXP3 and CD25 expression showing the spontaneous iT reg cell (FOXP3 $^{+}$ CD25 bright) population obtained after culture of naive CD4 lymphocytes with weak (0.5 μ g/ml α CD3) TCR stimulation under nonskewing conditions, in the presence of IL-2. (Right) Combined data. (Bottom) Representative histograms of GATA3 expression among FOXP3 $^{-}$ CD25 $^{+}$ cells from these same cultures (left) and combined data (right). (D) Relative quantitation of *IL4*, *IL4R*, and *GATA3* genes in Jurkat T cells after knockdown of *STAT3* (*siSTAT3*), *GATA3* (*siGATA3*), or scrambled control (*siControl*) under standard culture conditions (10% FCS, determined to contain 10% of maximal TGF- β activity [media]) or in the presence of exogenous TGF- β (media + 5 ng/ml). Data are representative or combined from at least three independent experiments and are represented as the mean \pm SEM. Unpaired two-tailed Student's *t* tests and Mann-Whitney tests were used where appropriate. *, $P < 0.05$; **, $P < 0.005$; ***, $P < 0.001$.

MATERIALS AND METHODS

Human subjects

All *ERBB2IP^{mut}* patients and family members provided informed consent on National Institutes of Health (NIH) institutional review board-approved research protocols designed to study atopy (NCT01164241) and hyper-IgE syndromes (NCT00006150). Comprehensive histories, review of all available outside records, serial clinical evaluations, and therapeutic interventions were all performed at the Clinical Center of NIH. All clinical immunological laboratory tests were performed by the Department of Laboratory Medicine

at NIH. *STAT3^{mut}* patients were actively enrolled on a natural history protocol and followed at NIH having provided informed consent for the study (NCT00006150).

Genetic sequencing

Exome sequencing and data analysis were performed as previously described to identify *ERBB2IP^{mut}* patients (Zhang et al., 2014). Novel candidate variants were filtered by 1000 Genomes, dbSNP, and Exome Aggregation Consortium databases, as well as by an in-house database with over 500 exomes. Candidate variants were identified based on an

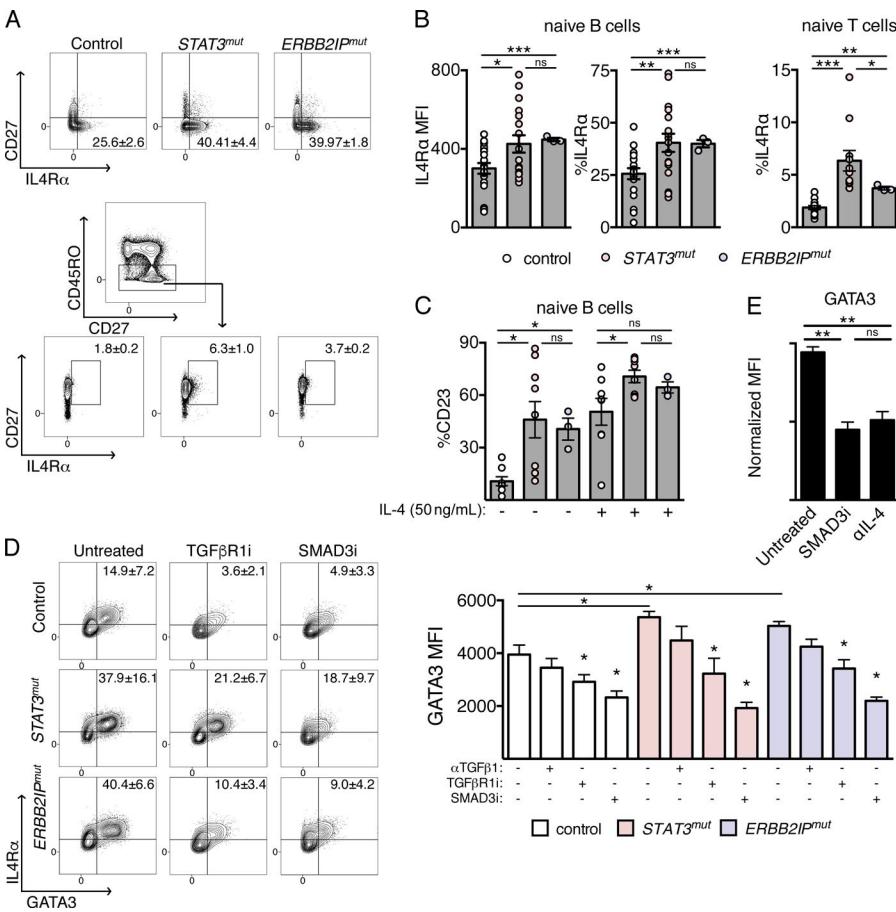


Figure 5. Increased functional IL-4R α expression in STAT3 mut and ERBB2IP mut naive lymphocytes promotes TGF- β -dependent GATA3 expression in CD4 T cells. (A) Contour plots demonstrating expression of IL-4R α ex vivo on naive (CD27 $^{-}$) B cells (top) and contour plots showing CD27 and IL-4R α coexpression and gating strategy of naive (CD45RO $^{-}$) T cells (bottom) from control, STAT3 mut , and ERBB2IP mut patients. (B) Combined ex vivo IL-4R α expression on naive lymphocytes from control ($n = 19$), STAT3 mut ($n = 17$), and ERBB2IP mut ($n = 3$) individuals. MFI on naive (CD27 $^{-}$) B cells (left) and percentage of naive (CD27 $^{-}$) B cells (middle) and of CD27 $^{+}$ naive (CD45RO $^{-}$) CD4 cells (right) expressing IL-4R α are shown. (C) Percentage of naive (CD27 $^{-}$) B cells from controls ($n = 8$), STAT3 mut ($n = 8$), and ERBB2IP mut ($n = 3$) individuals expressing the STAT6-target CD23 ex vivo or after short-term stimulation with IL-4 as indicated. (D) IL-4R α and resulting GATA3 expression in control, STAT3 mut , and ERBB2IP mut naive CD4 T cells after activation (CD69 $^{+}$) with weak TCR stimulation under nonskewing conditions in the presence or absence of a TGF- β 1-neutralizing antibody (α TGF β 1), a TGF β 1 inhibitor (TGF β R1i), or a selective SMAD3 inhibitor (SMAD3i). Contour plots (left) and combined GATA3 MFI (right) are shown. (E) Comparison of GATA3 expression in activated (CD69 $^{+}$) control naive CD4 cells, as normalized MFI, cultured with 10 μ g/ml neutralizing IL-4 antibody (α IL-4) or SMAD3i under the same conditions as in D. Data are representative or combined from at least three independent experiments and are represented as the mean \pm SEM. Unpaired two-tailed Student's *t* tests and Mann-Whitney tests were used where appropriate. *, $P < 0.05$; **, $P < 0.005$; ***, $P < 0.001$.

autosomal-dominant pattern of inheritance. Segregating mutations identified by whole-exome sequencing were verified by Sanger sequencing of the candidate gene as previously described (Zhang et al., 2014). Before study initiation, STAT3 mut patients had been identified clinically and confirmed genetically by Sanger sequencing to have dominant-negative STAT3 mutations as previously described (Holland et al., 2007).

Antibodies, siRNAs, and expression plasmids

For flow cytometric analyses, the following antibodies were used: anti-CD3 AF700/APC, anti-CD4 BUV395/FITC/APC-H7, anti-CD19 APC/BV605, anti-CD25 PE-Cy7/APC-H7, anti-CD27 v450, anti-CD69 FITC, anti-CD127 AF647, anti-GATA3 AF488/AF647, anti-IFN- γ v450, anti-IL-2 FITC, anti-IL-4 PE-Cy7, anti-IL-5 PE, anti-IL-13 BV711, anti-pSMAD2/3 PE/AF647, anti-pSTAT3 (Y705) AF647, anti-pSTAT5 (Y694) Pacific blue, and anti-pSTAT6 (Y641) APC (BD); anti-FOXP3 eFluor450, anti-IL-17A

APC, anti-IL-21 eFluor660, and anti-CD23 PE-Cy7/FITC (eBioscience); anti-CD45RO Texas Red PE (Beckman Coulter); and anti-CD124 (IL-4R α) PE (BioLegend). LIVE/DEAD Fixable blue and aqua stains (Thermo Fisher Scientific) were used for flow cytometry, and DAPI was used for Amnis ImageStream flow cytometry.

The following were used for immunoblotting: anti- β -actin, anti- β -tubulin, anti-HSP90, anti-IL-4R α , anti-lamin A/C, anti-pSMAD2/3, anti-SMAD2/3, and anti-STAT3 (Cell Signaling Technology); anti-ERBB2IP (Santa Cruz Biotechnology, Inc.; Proteintech); and anti-turbo GFP (OriGene). Appropriate HRP-conjugated secondary antibodies were used for immunoblotting (Santa Cruz Biotechnology, Inc.). For immunoprecipitation, anti-STAT3, anti-SMAD2/3 monoclonal antibodies, or MYC sepharose and STAT3 sepharose (Cell Signaling Technology) were used.

Silencer select siRNAs directed against ERBB2IP and STAT3 and control siRNAs (Thermo Fisher Scientific)

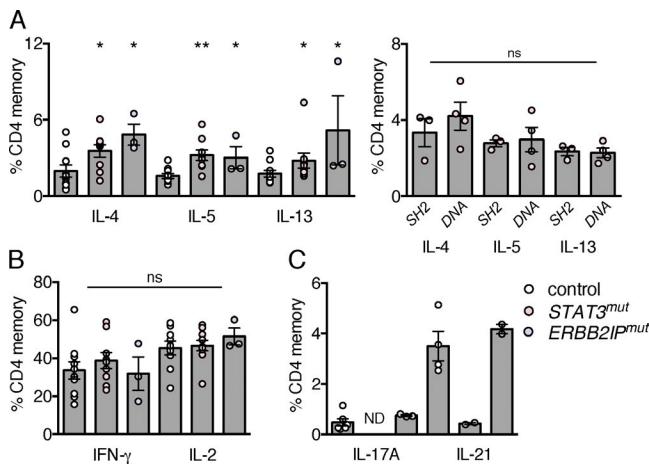


Figure 6. Increased Th2 cytokine production in *STAT3^{mut}* and *ERBB2IP^{mut}* individual CD4 lymphocytes ex vivo. (A) Ex vivo cytokine expression in control ($n = 10$), *ERBB2IP^{mut}* ($n = 3$), and *STAT3^{mut}* memory ($n = 9$; CD45RO⁺) CD4 T cells. (B) Th2 cytokine expression of *STAT3^{mut}* patients (*STAT3^{mut}*) with SH2-domain mutations ($n = 3$) or DNA-binding-domain mutations ($n = 4$). (C) Ex vivo IL-17 expression in control ($n = 6$) and *ERBB2IP^{mut}* ($n = 3$) and IL-21 expression in control ($n = 4$), *ERBB2IP^{mut}* ($n = 2$), and *STAT3^{mut}* memory ($n = 2$; CD45RO⁺) CD4 T cells. Data are combined from at least two independent experiments and are represented as the mean \pm SEM. Mann-Whitney tests were used. * $P < 0.05$; ** $P < 0.005$.

were used for knockdown experiments. The wild-type ERBIN (*ERBB2IP^{WT}*) plasmid was generated by cloning the cDNA open reading frame (NCBI RefSeq accession no. NM_001253697.1) into the pCMV6-AC-GFP vector (OriGene). The ERBIN-mutant clone (*ERBB2IP^{mut}*) was generated using site-directed mutagenesis introducing c.1588G>T p.(D530Y); both plasmids were confirmed by restriction digest on Southern blots and by Sanger sequencing (GenScript). Wild-type and dominant-negative *STAT3^{mut}* (K658E and N647D; *STAT3^{mut}*) and wild-type *STAT3* (*STAT3^{WT}*) Myk-DDK-tagged plasmids were provided by S.M. Holland.

PBMC preparation and stimulation

PBMCs were separated by Ficoll (Ficoll-Paque PLUS; GE Healthcare) gradient centrifugation. Naive CD4 T cells and pan-T cells were isolated by negative selection using magnetic-activated cell-sorting purification (Miltenyi Biotec) and used for transfections or cultures as indicated. *STAT3*, *STAT5*, and *STAT6* phosphorylation was detected after 25-min stimulation with 100 ng/ml IL-6, 40 U/ml IL-2 (PeproTech), or 50 ng/ml IL-4 (R&D Systems), respectively, as previously described (Milner et al., 2015). CD23 induction was measured after 16-h stimulation with 50 ng/ml IL-4. SMAD2/3 phosphorylation was assessed after TGF- β 1 (5 ng/ml; R&D Systems) stimulation at the concentrations indicated for 2 h (unless otherwise shown).

Cell cultures

Primary dermal fibroblasts from *ERBB2IP^{mut}*, *STAT3^{mut}*, or healthy donors were grown in MEM supplemented with 20% fetal bovine serum and penicillin-streptomycin-glutamine (Thermo Fisher Scientific). 293T cells were cultured in IMDM with 10% FBS and penicillin-streptomycin-glutamine. Transfection of 293T cells with plasmids or siRNAs was accomplished with NucleofectorV (Lonza). Isolated naive primary CD4⁺ T cells were cultured in X-VIVO 15 media (Lonza). Plate-bound anti-CD3 (5 μ g/ml unless otherwise indicated; eBioscience), soluble anti-CD28 (1 μ g/ml; BD), and IL-2 (20 U/ml unless otherwise indicated; PeproTech) were used in nonskewing and iT reg cell conditions; TGF- β 1 (R&D Systems) was used in iT reg cell cultures as indicated. When specified, a neutralizing anti-(α)TGF β 1 antibody (20 μ g/ml; R&D Systems), a small molecule ALK5 inhibitor (TGF β R1i) GW788388, or a selective SMAD3 inhibitor (SMAD3i) SIS3 (Sigma-Aldrich) were added at 1 and 5 μ M to nonskewing cultures of naive CD4 cells. To test specificity and inhibitory activity on TGF- β pathway activation, these inhibitors were added to the 293T SMAD-reporter line for 12 h before stimulation with TGF- β at the indicated concentrations.

Western blotting and immunoprecipitation

A total of 50 μ g of protein was isolated as previously described (O'Connell et al., 2009), and nuclear and cytoplasmic fractions were obtained as indicated using an NE-PER kit (Thermo Fisher Scientific) following the manufacturer's protocol. For immunoprecipitation, after the indicated transfection and/or stimulation, 293T cells were lysed in immunoprecipitation lysis buffer (1 \times Mini protease inhibitor with EDTA [Roche], 20 mM Tris-HCl, pH 7.75, 140 mM NaCl, 1% Triton X-100, and 1 mM PMSF protease inhibitor cocktail [Thermo Fisher Scientific]), precleared, and immunoprecipitated using Protein A/G Plus agarose (Thermo Fisher Scientific) and monoclonal antibodies for SMAD2/3 or by using precoated sepharose beads for *STAT3* and MYC (Cell Signaling Technology). Immunoblotting of whole cell lysates and immunoprecipitated proteins was performed using SDS-PAGE and transferred onto a polyvinylidene fluoride membrane as previously described (O'Connell et al., 2009). ImageJ (National Institutes of Health) was used to quantify integrated density.

Gel filtration chromatography

Prepacked Superdex 200 10/30 GL columns (GE Healthcare) were used on an AKTA Chromatographic system (GE Healthcare). 293T cells cultured in the presence or absence of 50 ng/ml IL-6 (PeproTech) were mechanically lysed using detergent-free buffer (20 mM Tris-HCl, pH 7.5, 1 mM CaCl₂, 1 mM MgCl₂, and 1 \times protease inhibitors cocktail [Roche]). Samples were loaded onto the column (flow rate 0.5 ml/min; fraction 500 μ l) and monitored by the eluent absorbance at 280 nm. High and medium molecular weight peaks (A1-15 and B15-14) were selected and subjected to Western blotting.

SMAD-reporter assay

293T cells stably expressing SMAD2/3 reporter construct (gift from A. Kitani, National Institute of Allergy and Infectious Diseases, National Institutes of Health, Bethesda, MD) were stimulated with TGF- β 1, and luciferase activity was measured as previously described (Oida and Weiner, 2011). In brief, the reporter line was pretreated with 50 ng/ml IL-6 or IL-11 (PeproTech) and/or transfected with constructs or siRNAs as indicated using Nucleofector kit V (Lonza), rested 12 h after transfection, and stimulated with 0.05–5 ng/ml TGF- β 1 for 16 h. Cells were washed, and GFP fluorescence was measured. Next, cells were passively lysed, and luciferase activity was quantified using ONE-Glo reagent (Promega) and normalized to GFP fluorescent intensity.

Real-time PCR

After treatment or transfection as indicated, mRNA was isolated from Jurkat T cells or from purified pan-T cells using the RNeasy kit (QIAGEN). Reverse transcription was performed using TaqMan reverse transcription reagents (Applied Biosystems; Thermo Fisher Scientific). Real-time PCR reactions were performed using TaqMan gene expression master mix (Applied Biosystems) and TaqMan gene expression assays probes for *IL4*, *IL4R*, *GATA3*, and *TBX21* (Thermo Fisher Scientific). 18S RNA served as the control target. The reactions were run on a 7500 Real-Time PCR system (Applied Biosystems; Thermo Fisher Scientific), and mRNA copy number was calculated by relative quantitation.

Flow cytometry and Amnis ImageStream

Ex vivo intracellular cytokine staining for IL-2, IL-4, IL-5, IL-13, IL-17A, IL-21, and IFN- γ after PMA and ionomycin stimulation was performed as previously described (Zhang et al., 2014). Ex vivo T reg cell staining was performed using anti-CD3, CD4, CD25, CD45RO, CD127, and FOXP3 antibodies as previously described (Milner et al., 2015). Induction of FOXP3 was assessed after PMA and ionomycin stimulation for 4 h. iT reg cell and nonskewing cultures were stained for CD4, CD25, FOXP3, and GATA3 or IL-4R α where indicated. For iT reg cell and nonskewing cultures, a FOXP3 transcription factor-staining buffer set was used (eBioscience). Total pSMAD2/3 staining was performed using the FOXP3 buffer set according to the manufacturer's instructions. Phospho-STAT staining was performed as previously described (using IL-2, -4, and -6; Milner et al., 2015). Ex vivo IL-4R α expression and basal and IL-4-induced CD23 expression were obtained by staining live cells followed by fixation in 4% paraformaldehyde. IL-4R α levels in cultured naive CD4 $^+$ T cells were accomplished by surface staining live CD4 $^+$ cells with CD25 and CD69 before fixation and permeabilization with the FOXP3 buffer set. All events were collected on an LSR-Fortessa flow cytometer (BD) and analyzed with FlowJo (Tree Star). For Amnis ImageStream nuclear pSMAD2/3 quantification, PBMCs were stained with CD4 and pSMAD2/3 as

performed for flow cytometry, with the addition of DAPI to create a nuclear mask. Events were recorded and quantified using IDEAS software (EMD Millipore).

Statistical analysis

In flow cytometry plots, data are presented as geometric mean fluorescence intensity (MFI) of the gated population. Unless otherwise indicated, remaining graphs represent the mean value \pm SEM. Mann-Whitney, Wilcoxon matched pairs, and Student's *t* tests were used to test significance of associations as indicated. P-values <0.05 were considered statistically significant. All statistical analyses were performed with Prism (v6.05; GraphPad Software).

Online supplemental material

Fig. S1 shows primary gel filtration chromatography data. Fig. S2 shows increased T reg cells in *ERBB2IP*^{mut} and *STAT3*^{mut} patients are not associated with altered IL-2 responsiveness and are independent of the mutant STAT3 domain. Fig. S3 shows TGF- β can induce functionally active IL-4R α expression in lymphocytes to promote STAT6-specific target expression. Fig. S4 shows greater IL-4R α expression and increased GATA3 induction in *STAT3*^{mut} and *ERBB2IP*^{mut} naive CD4 lymphocytes are normalized by SMAD3 inhibition.

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The authors declare no competing financial interests.

Author contributions: J.J. Lyons and J.D. Milner designed the study. A.F. Freeman, S.M. Holland, J.D. Milner, M.E. Rothenberg, J.J. Lyons, K.D. Stone, E. Mendoza-Caamal, L. Orozco, P.A. Frischmeyer-Guerrero, C. Nelson, T. DiMaggio, N. Jones, and D.N. Darnell recruited patients and/or provided support for the protocols and study subjects. J.J. Lyons, A.F. Freeman, J.D. Milner, M.G. Lawrence, and A.M. Siegel collected and analyzed clinical data. J.J. Lyons, R.J. Hohman, and J.D. Milner oversaw and J.J. Lyons, Y. Liu, C.A. Ma, M.G. Lawrence, Y. Zhang, K. Karpe, M. Zhao, A.M. Siegel, and M.P. O'Connell designed and performed the functional studies. X. Yu, J.D. Hughes, J. McElwee, and J.D. Milner supported and/or performed genome sequencing and analysis of *ERBB2IP*^{mut} individuals. J.J. Lyons and J.D. Milner prepared the draft manuscript. All authors contributed to discussion of the results and to manuscript preparation.

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