

Innate Immune Surveillance of Spontaneous B Cell Lymphomas by Natural Killer Cells and $\gamma\delta$ T Cells

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Abstract

Few studies have demonstrated that innate lymphocytes play a major role in preventing spontaneous tumor formation. We evaluated the development of spontaneous tumors in mice lacking β -2 microglobulin (β 2m; and thus MHC class I, CD1d, and CD16) and/or perforin, since these tumor cells would be expected to activate innate effector cells. Approximately half the cohort of perforin gene-targeted mice succumbed to spontaneous disseminated B cell lymphomas and in mice that also lacked β 2m, the lymphomas developed earlier (by more than 100 d) and with greater incidence (84%). B cell lymphomas from perforin/ β 2m gene-targeted mice effectively primed cell-mediated cytotoxicity and perforin, but not IFN- γ , IL-12, or IL-18, was absolutely essential for tumor rejection. Activated NK1.1⁺ and $\gamma\delta$ TCR⁺ T cells were abundant at the tumor site, and transplanted tumors were strongly rejected by either, or both, of these cell types. Blockade of a number of different known costimulatory pathways failed to prevent tumor rejection. These results reflect a critical role for NK cells and $\gamma\delta$ TCR⁺ T cells in innate immune surveillance of B cell lymphomas, mediated by as yet undetermined pathway(s) of tumor recognition.

Key words: immunosurveillance • effector • NK cell • tumor • perforin

Introduction

Immune surveillance against tumors has been debated for decades, although it has been well established using experimental tumor cell lines in mAb-treated and gene-targeted mice that the immune system recognizes and inhibits tumor growth (1–8). More recently, interest has shifted to determine whether the immune system can recognize precancerous cells, thus preventing tumor development. Mice deficient in key adaptive and innate immune effector molecules such as perforin (pfp) and IFN- γ have illustrated the importance

of these molecules in tumor prevention in aging mice or when predisposing factors such as chemical carcinogens or loss of tumor suppressors drive carcinogenesis (5, 7, 9–11). The lymphomas arising in pfp-deficient mice were of B cell origin, extremely immunogenic, and all susceptible to CD8⁺ T cell-mediated attack when transplanted into syngeneic WT recipients (5). These data and others (12) supported the important role adaptive immune responses play in spontaneous tumor control.

The role of innate immune cells such as NK cells and $\gamma\delta$ TCR⁺ T cells in immune surveillance of tumors remains controversial. Both NK cells and $\gamma\delta$ TCR⁺ T cells express perforin (13, 14), mediate spontaneous cytotoxicity, and produce many antitumor cytokines such as IFN- γ , when they recognize target cells via one or more of several cell

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surface receptors (15, 16). NK cells can spontaneously kill MHC class I-deficient tumor cell lines in vivo (1, 6) and suppress experimental and spontaneous metastasis in mice, but there are few models where NK cells or $\gamma\delta$ TCR⁺ T cells prevent primary tumor formation (3, 17–19).

Mice gene targeted for β_2 -microglobulin (β_2m) express little or no cell surface MHC class I, CD1d, or CD16 (Fc γ receptor III; reference 20), have greatly diminished CD8⁺ T cell numbers, and lack CD1d-restricted T cells. We investigated spontaneous tumor development in aging β_2m -deficient mice compared with mice doubly deficient in pfp and β_2m , to determine whether the latter mice would develop lymphomas and additional tumors, and whether innate effector cells, such as NK cells and $\gamma\delta$ TCR⁺ T cells, could recognize and eliminate such tumors given their lack of MHC class I molecules.

Materials and Methods

Mice. Inbred C57BL/6 WT mice were purchased from The Walter and Eliza Hall Institute of Medical Research (Melbourne, Australia). The following gene-targeted mice were bred at the Austin Research Institute Biological Research Laboratories (ARI-BRL; Heidelberg, Australia) and at the Peter Mac (East Melbourne, Australia): C57BL/6 perforin deficient (B6 pfp^{-/-}); C57BL/6 β_2 -microglobulin deficient (B6 β_2m ^{-/-}); and C57BL/6 pfp^{-/-} β_2m ^{-/-} (21). All aging mice were bred, maintained, and monitored as described previously (5). Mean lifespan (age of onset of lymphoma detected) \pm standard error of the mean was calculated and probability of significance determined using a Mann-Whitney Rank Sum U test. C57BL/6 RAG-1^{-/-} (Animal Resources Centre, Canning Vale, Western Australia) and C57BL/6 J α 18^{-/-} (backcrossed to C57BL/6 for 12 generations and provided by Dr. M. Taniguchi, Chiba University, Chiba, Japan) mice were bred and maintained at the Peter Mac. Congenic Ly-5.1⁺ B6 mice were purchased from the Animal Resources Centre and bred with B6 pfp^{-/-} mice to generate a B6 Ly-5.1⁺pfp^{-/-} line. Mice 6–15 wk of age were used in transplantation studies in accordance with the Peter Mac animal experimental ethics committee.

Flow Cytometry. The following reagents used for flow cytometry were purchased from BD Biosciences: anti- $\alpha\beta$ TCR-FITC or APC (H57–597); anti-NK1.1-PE (PK136); anti-Ly5.2-FITC (104); and anti- $\gamma\delta$ TCR-biotin or FITC (clone GL3). Anti-Fc receptor (2.4G2) was used to prevent nonspecific binding by mAb. Intracellular staining was performed using the Cytoperm Kit (BD Biosciences) as per their instructions. Analysis was performed on a FACScalibur[®] using CellQuest software or LSR II using FACSDIVA[®] software (Becton Dickinson).

Tumor Transplantation Experiments. Three representative (of many) B cell lymphomas from B6 pfp^{-/-} β_2m ^{-/-} mice, β_2m NPN-2, β_2m NPN-8, and β_2m NPN-10 (B220⁺Ig⁺TCR $\alpha\beta$ ⁻) were transferred into WT, gene-targeted, and antibody-treated mice. Groups of three to five WT or gene-targeted mice were injected i.p. with increasing numbers of lymphoma cells and observed daily for tumor growth for over 150 d. Some groups of WT and gene-targeted mice were depleted of NK1.1⁺, asialo-GM1⁺, or $\gamma\delta$ TCR⁺ T cells in vivo by treatment with 200 μ g anti-NK1.1 mAb (PK136), rabbit anti-asialo-GM1 antibody (Wako Chemicals), or anti- $\gamma\delta$ TCR mAb (GL3), respectively, on days -2, 0 (day of tumor inoculation), and then either once

or twice a week to deplete subsets as described previously (6, 17, 22, 23).

Peritoneal Challenge and Peritoneal Exudate Lymphocytes (PEL) Cytotoxicity. The number of cells migrating to the peritoneum was evaluated as described previously (2) in groups of five B6 WT, pfp^{-/-}, or RAG-1^{-/-} mice that had received PBS or tumor cells (10⁴)/0.2 ml i.p. as indicated. Some groups of B6 WT mice were pretreated with 200 μ g of one or both of anti-NK1.1 (PK136) and anti- $\gamma\delta$ TCR (GL3). Peritoneal contents were analyzed for proportions of NK1.1⁺, $\gamma\delta$ TCR⁺, and other leukocytes by flow cytometry as above. The cytolytic activity of the PEL was measured against a series of different target cells (as indicated) at various effector/target ratios using a 4-h ⁵¹Cr release assay as described previously (2).

Online Supplemental Material. Figs. S1–S4 and associated methods are available at <http://www.jem.org/cgi/content/full/jem.20031981/DC1>.

Results and Discussion

Spontaneous B Cell Lymphomas Develop in β_2m ^{-/-} pfp^{-/-} Mice. We undertook to monitor spontaneous tumor development in WT C57BL/6 (B6) or those that were deficient in β_2m and/or pfp. B6 pfp^{-/-} mice died from aggressive disseminated lymphomas affecting the spleen, liver, and lymph nodes from 300 d onwards, with 57% (12/21) succumbing by the end of the experiment [mean lifespan = 495 \pm 35 d] (Fig. 1). B6 pfp^{-/-} β_2m ^{-/-} mice developed more disseminated lymphomas (27/32, 84%) and statistically earlier onset (mean lifespan 387 \pm 24 d, P = 0.0136). No other tumor types were detected and WT B6 mice (0/39 mice) and mice deficient in β_2m (0/21) did not develop any tumors over the same observation period (Fig. 1). The reduced incidence and later onset of lymphomas in B6 pfp^{-/-} mice suggested that in these mice additional nonperforin effector mechanisms mediated by a β_2m -dependent recognition process (e.g., by CD8⁺ T cells or CD1d-restricted NKT cells) might compensate for the loss of pfp. The NK cell compartment of B6 pfp^{-/-} β_2m ^{-/-} mice is somewhat “anergic” in response to MHC class I-deficient tumors or cells (21, 24) and this may also explain the earlier onset and greater incidence of lymphoma in these mice. As described previously, all of the disseminated lymphomas in B6 pfp^{-/-} mice were of B cell origin (B220⁺ sIg⁺ CD4⁻ CD8⁻ TCR⁻), or less frequently, plasmacytomas (B220⁺ CD4⁻ CD8⁻ TCR⁻ sIg^{low} and histological appearance) (10). All the disseminated lymphomas from B6 pfp^{-/-} β_2m ^{-/-} mice were also of B cell origin (B220⁺ sIg⁺ CD4⁻ CD8⁻ TCR⁻; Fig. S1). In contrast to the high level of MHC class I expressed by lymphomas emerging in B6 pfp^{-/-} mice (5, 10), those arising in B6 pfp^{-/-} β_2m ^{-/-} mice expressed I-A^b, but lacked H-2K^b, H-2D^b, and CD1d (Fig. S1).

Both NK Cells and $\gamma\delta$ TCR⁺ T Cells Reject B Cell Lymphoma in pfp-dependent Manner. The primary B cell lymphomas arising in B6 pfp^{-/-} β_2m ^{-/-} mice grew in B6 pfp^{-/-} mice at doses as low as 10⁴ cells, but not in WT mice at 10⁷ cells (Fig. 2 a). By contrast, mice deficient for one or more of TRAIL, TNF, or FasL rejected 10⁷ tumor cells (Fig. S2), indicating that pfp was the major cytotoxic effec-

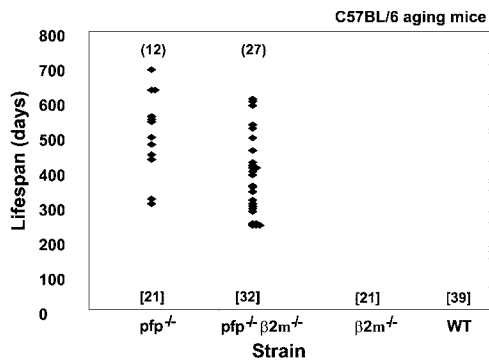


Figure 1. Perforin protects mice from spontaneous B cell lymphomas. Groups of mice (number in square brackets) were evaluated twice weekly and when moribund, a full autopsy was performed and tumor type recorded against time of sacrifice. The lifespan of each $pfp^{-/-}$ and $\beta 2m^{-/-}pfp^{-/-}$ mouse developing a disseminated lymphoma is depicted by a symbol and the total number succumbing shown in round brackets. The lifespan (detected age of onset of lymphoma) of the $\beta 2m^{-/-}pfp^{-/-}$ mice was significantly reduced when compared with that of $pfp^{-/-}$ mice ($P = 0.0136$, Mann-Whitney).

tor mechanism used in tumor rejection. Mice deficient in $IFN-\gamma$, $IL-12$, and/or $IL-18$ also rejected these lymphomas, demonstrating that secretion of these cytokines by NK cells or antigen-presenting cells was not critical for tumor rejection (Fig. S2). To establish the effector cell population(s) required for B cell lymphoma rejection, each lymphoma was transferred at 10^7 cells into a variety of gene-targeted, mutant, or lymphocyte subset-depleted mice (Fig. 2 b and Fig. S2). $RAG1^{-/-}$ and other T cell-deficient mice rejected B cell lymphomas from B6 $pfp^{-/-} \beta 2m^{-/-}$ mice, as did non-obese diabetic (NOD) scid mice that lack T cells and have a partial defect in NK cell effector functions (25; and Fig. S2). Only $RAG1^{-/-}$ mice depleted of $NK1.1^+$ (NK) cells or WT mice depleted of both $NK1.1^+$ cells and $\gamma\delta TCR^+$ T cells succumbed to lymphoma (Fig. 2 b). Importantly, $J\alpha 18^{-/-}$ mice (deficient in NKT cells) alone or additionally depleted of $NK1.1^+$ cells also rejected the lymphomas, further proving that NKT cells were not critical for tumor rejection (Fig. 2 b). Mice depleted of NK cells or $\gamma\delta TCR^+$ T cells alone did not develop lymphomas, consistent with the ability of each subset to mediate tumor rejection in the absence of the other (Fig. 2 b). In concert with our previous data (5, 10), all MHC class I-expressing B cell lymphomas from B6 $pfp^{-/-}$ mice grew in B6 $pfp^{-/-}$ mice, but were avidly rejected when transferred into B6 WT mice by $CD8^+$ T cells (unpublished results).

B Cell Lymphomas Prime NK Cell and $\gamma\delta^+$ T Cell Activation In Vivo. To specifically examine the response of NK cells and $\gamma\delta^+$ T cells to B cell lymphomas, we transplanted $Ly-5.2^+$ $\beta 2mNPN-8$ from B6 $pfp^{-/-} \beta 2m^{-/-}$ mice into B6. $Ly-5.1^+$ $pfp^{-/-}$ mice. 21 d later the cellularity of tumor-burdened spleens was 10–50-fold greater than control B6 mice (unpublished data), with a general increase in most populations (other than B cells), whereas $B220^+Ly5.2^+$ cells (2.5%) defined the tumor burden of the B6. $Ly-5.1^+$ $pfp^{-/-}$ mice (Fig. 3 a). By cell surface labeling there

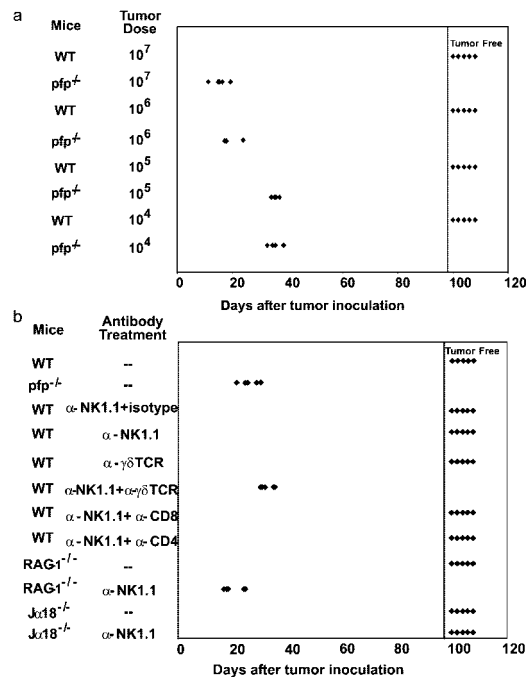


Figure 2. Spontaneous lymphomas arising in $\beta 2m^{-/-}pfp^{-/-}$ were malignant and rejected by NK and $\gamma\delta$ T cells. Groups of five B6 WT and gene-targeted mice were inoculated i.p. with primary lymphomas arising in B6 $pfp^{-/-} \beta 2m^{-/-}$ mice. Some groups of mice were depleted of $NK1.1^+$ or $\gamma\delta TCR^+$ cells using Ab or a hamster isotype Ig control as indicated. Transplant of $\beta 2mNPN-8$ lymphoma cells (10^4 to 10^7 cells in 0.2 ml PBS) is representative of all the lymphomas transferred. Individual tumor-free mice remaining after 150 d are represented right of the inserted vertical line and are represented by each symbol. (a) $Pfp^{-/-}$ mice were at least 1,000-fold more susceptible to $\beta 2mNPN-8$ lymphoma than WT mice. (b) Either NK cells and/or $\gamma\delta$ T cells mediated lymphoma rejection.

was no specific increase in the proportion of $NK1.1^+$ or $\gamma\delta TCR^+$ T cells in lymphoma-burdened mice (Fig. 3 a), although an increase in the proportion of $NK1.1^+B220^+$ cells (0.40 to 1.58%) was noted. Consistent with internalization of TCR and NK cell receptors upon activation (26, 27), intracellular staining revealed an approximately eight-fold and two- to threefold increase in the proportions of $\gamma\delta TCR^+$ and $NK1.1^+$ cells, respectively, in lymphoma-burdened mice compared with control mice (Fig. 3 b), and thus represented a tremendous increase in the number of $\gamma\delta TCR^+$ T cells and $NK1.1^+$ cells in lymphoma-inoculated mice. The specific internalization of $\gamma\delta TCR$ and $NK1.1$ antigens suggested that these cells were being specifically stimulated by the presence of the B cell lymphoma, whereas $\alpha\beta TCR^+$ T cells were increased in number, but not proportion, and their TCR had not been internalized. The accumulation of $NK1.1^+$ and $\gamma\delta TCR^+$ cells was further confirmed by their detection around masses of $B220^+$ (and $Ly-5.2^+$) tumor cells (Fig. S3).

Consistent with previous observations after intraperitoneal challenge with MHC class I-deficient RMA-S tumor cells (2, 28), after 72 h cell number in the peritoneum was enhanced three- to fourfold in all mice inoculated with any of the B cell lymphomas (unpublished data). Importantly, PEL

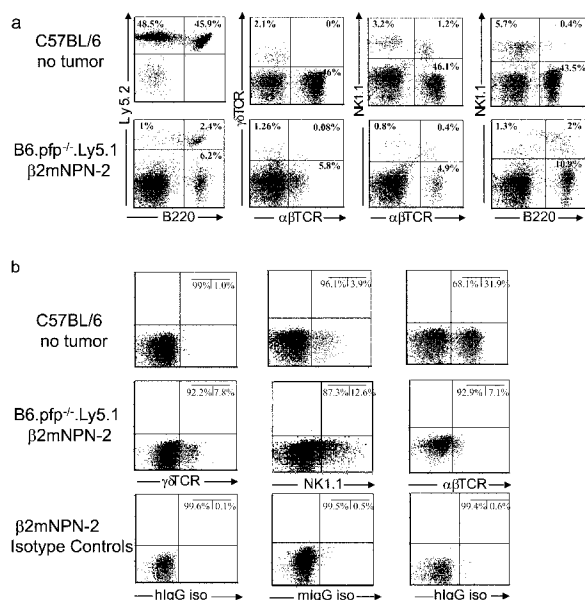


Figure 3. B cell lymphomas induce NK cell and $\gamma\delta^+$ T cell accumulation in vivo. (a) $\beta 2mNPN-2$ (Ly5.2+) lymphoma cells (10^7) were transplanted into B6.Ly-5.1+ $pfp^{-/-}$ mice and 21 d later B220 $^+$, NK1.1 $^+$, $\gamma\delta TCR^+$, and $\alpha\beta TCR^+$ populations in tumor-burdened spleens were compared with the spleens of control B6 (Ly5.2 $^+$) mice by flow cytometry as indicated. B220 $^+$ Ly5.2 $^+$ cells define the lymphoma amongst spleen cells of the B6.Ly-5.1+ $pfp^{-/-}$ mice. (b) Spleen cells prepared in a similar manner to panel a were permeabilized and stained intracellularly. Isotype controls are included.

that had been primed by RMA-S or $\beta 2mNPN-8$ tumor challenge were capable of rapid lysis of either Yac-1 or $\beta 2mNPN-8$ tumor targets (Fig. 4 a). By contrast, RMA tumor challenge did not stimulate PEL cytotoxicity against Yac-1 or $\beta 2mNPN-8$ tumor cells and PEL from PBS-inoculated B6 WT mice were only weakly lytic toward $\beta 2mNPN-8$ and NK cell-sensitive Yac-1 target cells (Fig. 4 a). In addition, freshly isolated spleen mononuclear cells did not significantly lyse $\beta 2mNPN-8$ tumor cells in 4 or 16 h cytotoxicity assays (unpublished data). PEL from RAG-1 $^{-/-}$ mice that had been primed by $\beta 2mNPN-8$ tumor challenge were capable of rapid lysis of either Yac-1 (Fig. 4 b) or $\beta 2mNPN-8$ tumor targets (unpublished data). By contrast, despite effective cellular recruitment in $pfp^{-/-}$ mice (unpublished data), PEL from $pfp^{-/-}$ mice that had been primed by $\beta 2mNPN-8$ tumor challenge were incapable of lysing either Yac-1 (Fig. 4 b) or $\beta 2mNPN-8$ tumor targets (unpublished data). Furthermore, PEL from $\beta 2mNPN-8$ -primed $pfp^{-/-}$, RAG-1 $^{-/-}$, or WT mice depleted of NK1.1 $^+$ cells were unable to lyse Yac-1 (Fig. 4 c) or $\beta 2mNPN-8$ target cells (unpublished data). PEL from $\beta 2mNPN-8$ -primed WT mice depleted of $\gamma\delta TCR^+$ cells were somewhat reduced in their specific ability to lyse Yac-1 (Fig. 4 c). Although NK cells were activated and did mediate enhanced perforin-dependent cytotoxicity against these tumor cells and Yac-1 after priming in vivo, the relatively minor role of $\gamma\delta TCR^+$ T cells in mediating cytotoxicity in this assay may not necessarily correlate with their activation and role in tumor rejection.

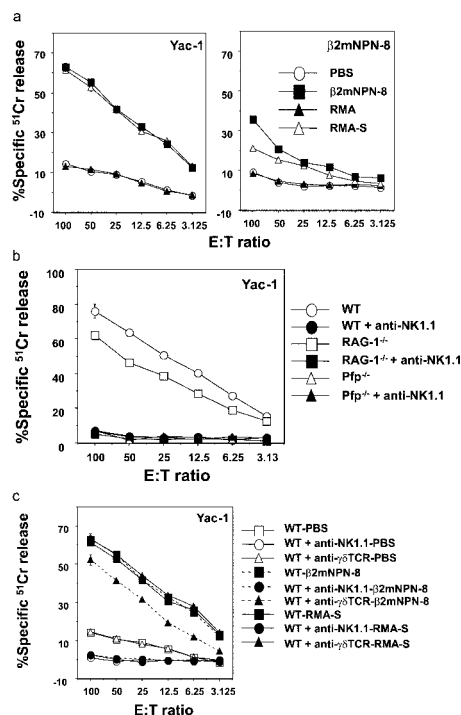


Figure 4. B cell lymphomas prime NK cell-mediated cytotoxicity. (a) $\beta 2mNPN-8$ lymphoma cells (10^4) were injected into the peritoneum of groups of 5 B6 $pfp^{-/-}$ mice, B6 WT mice, or WT mice depleted of NK1.1 $^+$ cells as indicated. As controls some groups of mice were challenged with either PBS, MHC class I-deficient RMA-S (10^4) or parental RMA (10^4) tumor cells. After 72 h, the cytotoxic potential of PEL was assessed in a 4-h ^{51}Cr release assay against Yac-1 or $\beta 2mNPN-8$ target cells. Results were recorded as the mean \pm SEM of triplicate samples and are representative of three experiments. (b) The cytotoxic potential of PEL from WT, RAG-1 $^{-/-}$, or $pfp^{-/-}$ mice primed by $\beta 2mNPN-8$ tumor challenge (as in A) was assessed in a 4-h ^{51}Cr release assay against Yac-1 target cells. Some groups of mice were pretreated with anti-NK1.1 mAb (100 μg each on days -1 and 1). (c) The cytotoxic potential of PEL from WT mice primed by PBS, $\beta 2mNPN-8$, or RMA-S tumor challenge (as in a) was assessed in a 4-h ^{51}Cr release assay against Yac-1 target cells. Some groups of mice were pretreated with anti-NK1.1 or anti- $\gamma\delta TCR$ mAb (100 μg each on days -1 and 1). Results were recorded as the mean \pm SEM of triplicate samples and are representative of two experiments performed.

A Novel Mechanism of Tumor Recognition by NK Cells and $\gamma\delta TCR^+$ T Cells? All B cell lymphomas arising in B6 $pfp^{-/-}$ $\beta 2m^{-/-}$ mice expressed abundant levels of CD40, CD48, CD70, CD80, and CD86, low levels of NKG2D ligands, and 4-1BBL, but not ICOSL or OX40L (Fig. S4 a). PEL from RAG-1 $^{-/-}$ mice that had been primed by $\beta 2mNPN-8$ tumor challenge remained capable of lysis of either Yac-1 (Fig. S4 b) or $\beta 2mNPN-8$ tumor targets (unpublished data), even when mice had been pretreated with either a cocktail of neutralizing mAbs to CD40L, CD70, CD80, and CD86 or anti-NKG2D alone. Single or collective inhibition of the NKG2D/NKG2D ligand, CD40L/CD40, CD27/CD70, CD28/CD80, CD28/CD86, CD48/CD2, and CD48/2B4 costimulatory pathways did not prevent lymphoma rejection (Fig. S4 c). As NK cell and $\gamma\delta^+$ T cell activation may require the simultaneous engagement of stimulatory and costimulatory receptors, it remains to be

demonstrated which receptor(s) present the primary signal to these effector cells.

Conclusions. Evidence for a primary role for NK cells and $\gamma\delta$ TCR⁺T cells in tumor immune surveillance has remained scant (17, 19). 40 yr ago Hodgkin's-like B lymphomas spontaneously arising in aging C57L mice (25% incidence at 21 mo of age), were first reported, but only recently were these B cell lymphomas shown to express costimulatory molecules and be controlled by NK cells in syngeneic mice (29). Another study demonstrated that B cell lymphomas arose with higher frequency in Fas mutant *lpr* mice that were additionally deficient in $\gamma\delta$ ⁺T cells or $\alpha\beta$ ⁺T cells (30). These experiments were performed on a mixed C57BL/6/MRL background, but suggested that $\gamma\delta$ ⁺T cells contribute to the suppression of spontaneously arising B cell lymphomas. Herein, we have directly illustrated in a syngeneic C57BL/6 background an incredibly potent NK cell and $\gamma\delta$ ⁺T cell response capable of rejecting spontaneously arising MHC class I-deficient B cell lymphomas. $\gamma\delta$ TCR⁺T cells were shown in great numbers around B cell tumor masses in the spleens of B6 *pfp*^{-/-} mice. Such a response of $\gamma\delta$ TCR⁺T cells has not been documented in previous disease models and most often $\gamma\delta$ TCR⁺T cells have been shown to inhibit tumors initiated in regions rich in $\gamma\delta$ TCR-expressing intraepithelial lymphocytes such as skin epidermis and gut (19, 31, 32). In addition, the fact that these effector T cells internalized $\gamma\delta$ TCR suggests that these cells were activated via their TCR and highlights that such activated $\gamma\delta$ TCR⁺T cells might be missed if investigators only used staining for surface TCR. A recent study described the ability of $\gamma\delta$ TCR⁺T cells to provide an early source of IFN- γ in immune responses to carcinogen (33). Here we have shown that the cytotoxic function of $\gamma\delta$ TCR⁺T cells may also contribute to tumor rejection.

NK cells and $\gamma\delta$ TCR⁺T cells potentially use a combination of receptors to detect stressed or transformed tumor cells and may recognize tumors directly with no requirement for antigen processing or presentation. In the past 5 yr we have begun to appreciate the activation receptors expressed by innate effector cells, such as NK cells and $\gamma\delta$ TCR⁺T cells (28, 34). Although these B cell lymphomas expressed abundant levels of the costimulatory molecules CD40, CD48, CD70, CD80, and CD86, but lacked NKG2D ligands, blockade of each or several of these pathways also failed to prevent tumor rejection. Although the B cell lymphomas may directly or indirectly stimulate NK cells and $\gamma\delta$ ⁺T cells by a soluble mediator, our preliminary analysis of candidate cytokines such as IL-12, IL-18, IFN- γ , and TNF does not support such a contention. Collectively, these data suggest the presence of another, as yet unrecognized, activation receptor that exists on either NK cells or $\gamma\delta$ TCR⁺T cells, or both cell types, and promotes perforin-mediated cytotoxicity. This putative receptor does not recognize a β 2m-dependent ligand, further suggesting novel receptor/ligand pairs of biological significance remain to be discovered using this tumor model.

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