

# H2AX Is Required for Recombination Between Immunoglobulin Switch Regions but Not for Intra-Switch Region Recombination or Somatic Hypermutation

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## Abstract

Changes in chromatin structure induced by posttranslational modifications of histones are important regulators of genomic function. Phosphorylation of histone H2AX promotes DNA repair and helps maintain genomic stability. Although B cells lacking H2AX show impaired class switch recombination (CSR), the precise role of H2AX in CSR and somatic hypermutation (SHM) has not been defined. We show that H2AX is not required for SHM, suggesting that the processing of DNA lesions leading to SHM is fundamentally different from CSR. Impaired CSR in H2AX<sup>-/-</sup> B cells is not due to alterations in switch region transcription, accessibility, or aberrant joining. In the absence of H2AX, short-range intra-switch region recombination proceeds normally while long-range inter-switch region recombination is impaired. Our results suggest a role for H2AX in regulating the higher order chromatin remodeling that facilitates switch region synapsis.

Key words: class switch recombination • somatic hypermutation • activation-induced cytidine deaminase • H2AX • non-homologous end joining

## Introduction

During development in the bone marrow, B cells rearrange their immunoglobulin genes to assemble a functional B cell receptor through site specific V(D)J recombination (1, 2). Upon antigen encounter in secondary lymphoid organs the antibody repertoire is further diversified by somatic hypermutation (SHM)\* and class switch recombination (CSR). SHM introduces point mutations in the variable region of rearranged Ig V genes to generate families of related B cell clones bearing receptors with different antigen binding affinities which are selected by antigen in germinal centers (3). Transcription dependent mutations occur within a 2 kb region downstream of the Ig promoter (4–6). Although a consensus for initiation of mutation is not defined, ~50–60% of the mutations are found in RGYW hotspots (7, 8).

CSR is a region-specific recombination event that replaces the IgM constant region (C<sub>μ</sub>) with a downstream C<sub>H</sub> gene (γ, ε, or α), thereby switching the antibody isotype expressed while retaining receptor specificity (9). CSR involves large repetitive switch (S) region sequences located upstream of each C<sub>H</sub> gene. This deletional recombination reaction is dependent on components of the nonhomologous end joining pathway of DNA repair (NHEJ; references 10–12) and results in the loss of intervening DNA as a circular episome (13–15). Like SHM, CSR is transcription dependent and recombination is targeted to individual switch regions by T cell-derived cytokines that induce transcription from intronic (I) promoters located upstream of each switch region. These promoters support “sterile” transcription through the switch region and they are essential for CSR (16–21), possibly because transcription promotes switch region accessibility (9).

A major advance in understanding SHM and CSR was achieved when activation-induced cytidine deaminase (AID) was shown to be required for both reactions (22, 23). AID functions upstream of the DNA lesions that trigger CSR and SHM (24, 25). Based on sequence similarity

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\*Abbreviations used in this paper: AID, activation-induced cytidine deaminase; CSR, class switch recombination; NHEJ, nonhomologous end joining pathway of DNA repair; PP, Peyer’s patch; SHM, somatic hypermutation.

with APOBEC-1, an RNA editing cytidine deaminase, AID was proposed to initiate CSR and SHM directly by deaminating cytidine residues in DNA (25–27) or alternatively by modifying a yet unknown ubiquitous mRNA to create an endonuclease (22, 28). The DNA deamination model is supported by experiments in mice, chicken DT40 cells, and *E. coli* showing a role for uracyl-DNA glycosylase (UNG) downstream of AID (25–27). Furthermore, biochemical and genetic experiments suggest that single-stranded DNA exposed during the transcription reaction is the target for AID (29, 30, 30a, 30b). AID-mediated DNA deamination produces U:G mismatches in DNA that might be replicated to generate transition mutations (from C to T and G to A). Alternatively, recognition and removal of the uracyl by UNG would result in an abasic site that might be replicated to produce transition or transversion mutations. The abasic site could also be cleaved by an apurinic endonuclease, processed by additional nucleases, and repaired by error prone polymerases to introduce mutations at locations other than the original lesion (31–35). Finally, the U:G mismatch might be recognized by the mismatch repair pathway components MSH2/MSH6 and processed to produce distant mutations or double strand DNA (dsDNA) breaks. In this model CSR would proceed through dsDNA breaks produced by the UNG (major) or MSH2 (minor) pathways (26).

We have shown that in cells undergoing CSR phosphorylated histone H2AX ( $\gamma$ -H2AX) and the Nijmegen breakage syndrome protein (Nbs1) form nuclear foci at the IgH locus in the G1 phase of the cell cycle (24). These foci are AID dependent, suggesting that  $\gamma$ -H2AX acts downstream of AID during CSR (24). In addition, H2AX<sup>-/-</sup> lymphocytes show impaired CSR (24, 36). Histone H2AX is one of the three H2A subfamily members that participate in packaging eukaryotic DNA into nucleosomes. It is unique in being posttranslationally modified by phosphorylation of serine residues in the COOH-terminal domain by the PI3-kinases ataxia-telangiectasia mutated (ATM) and ATM-related (ATR; references 37–39) in response to dsDNA breaks (40–42). Although the precise role of  $\gamma$ -H2AX in DNA repair is still to be defined,  $\gamma$ -H2AX forms foci at dsDNA breaks and has been implicated both in homologous recombination and nonhomologous end joining DNA repair pathways (24, 36, 42, 43). In the absence of H2AX eukaryotic cells show multiple chromosomal abnormalities consistent with a role for H2AX in maintaining genomic stability (36, 43). Here we report on the role of histone H2AX in CSR and SHM.

## Materials and Methods

**Mice and Immunizations.** Wild-type (C57BL/6), AID<sup>-/-</sup> (22), H2AX<sup>-/-</sup> (36), Ku80<sup>-/-</sup> with a Bcl2 transgene carrying prearranged heavy and light chains (12, 44), and mice carrying a prearranged V<sub>HBI-8</sub> gene (45) were bred and maintained under specific pathogen free conditions. Mutant mice were maintained by intercrossing. Age-matched 8–10-wk-old mice were immunized by footpad injection with 50  $\mu$ g of NP-CGG (Biosearch Technologies) in complete Freund's adjuvant.

**Lymphocyte Cultures and Cell Sorting.** B lymphocytes were isolated from spleen using CD43 microbeads (Miltenyi Biotech), labeled with CFDA-SE for 10 min at 37°C (5  $\mu$ M; Molecular Probes), and cultured (10<sup>6</sup> cells/ml) with LPS (25  $\mu$ g/ml) and IL-4 (5 ng/ml) for 1–4 d. Peyer's patches (PPs) and lymph nodes were dissected before or after immunization. Germinal center B cells were stained with APC-anti-B220, FITC-anti-GL7, and PE-anti-FAS monoclonal antibodies (BD Biosciences). In all cell-sorting experiments propidium iodide (PI: 0.5  $\mu$ g/ml) was added immediately before laser excitation to exclude dead cells. Cell sorting was performed on a FACSVantage™ (Becton Dickinson), and an aliquot of each of the sorted fractions was reanalyzed for purity on a FACSCalibur™ (Becton Dickinson).

**Hybridoma Analysis.** B cells were stimulated with LPS and IL-4 for 72 h and fused to the SP2/OAg-14 myeloma cell line. IgM secreting clones were selected by ELISA for further analysis. Genomic DNA was prepared and Southern blot analysis performed using standard techniques.

**PCR and Mutation Analysis.** Genomic DNA was amplified by PCR using Pfu Turbo DNA polymerase (Stratagene) from 5,000 sorted cell equivalents in four independent reactions that were pooled for cloning experiments. For the S $\mu$ , S $\gamma$ 1, I $\gamma$ 1, S $\gamma$ 3, JH<sub>4</sub>-intron, VH<sub>BI-8</sub>, and E $\mu$  regions amplification conditions were 25 cycles 94°C (30 s), 60°C (30 s), 72°C (40 s). S $\mu$ -S $\gamma$ 1 junctions were amplified using Expand long template PCR system (Roche). Amplification conditions were 10 cycles at 94°C (10 s), 60°C (30 s), 68°C (1 min), and 20 cycles at 94°C (10 s), 60°C (30 s), 68°C (1 min and 20 s/cycle). PCR products were cloned using TOPO-TA cloning kit (Invitrogen) and sequenced using M13 universal primers. Sequence analysis was performed using Sequence Manager II software (DNASTAR). Primers were:  $\mu$  Switch region: 5 $\mu$ 3 (5'-AATGGATACCTCAGTGGTTTTTAATGGTGGGTTTA-3') and S $\mu$ R5 (5'-GCGGCCCGCTCATTCCAGTTCATTACAG-3');  $\mu$  intronic enhancer: 5 $\mu$ E2 (5'-ATTTTTAAATGAA-TTGAGCAATGTTGAGTTGGAGT-3') and  $\mu$ E-R (5'-AAAG-ATTTGTGAAGCCGTTTTGACCAGAATGTC-3');  $\gamma$ 3 Switch region:  $\gamma$ 3-F (5'-AGGAGAGCATAGGGGACCTGGATA-AGC-3') and  $\gamma$ 3-R (5'-GTCCCCACACCCACATACC-3'); CH $\mu$  probe: CH $\mu$  (5'-AGCCCCTCCCCACCTACCT-ACCTATTAC-3') and CH2 (5'-CTGTGATCGGTTTTGGA-GTGAAGTTCGTG-3'); S $\gamma$ 1 probe: S $\gamma$ 1-F (5'-GAAGCTGG-AGCTGATGGGTATAAAAGGAAC-3') and S $\gamma$ 1-R (5'-GGT-TCTCCACAATTCTTTCCCCCAGTTCTT-3'); E $\mu$  probe: 5 $\mu$ E2 and 3 $\mu$ EA (5'-GGCAACTTCAAATTCATTAAACCA-CAT-3'); JH<sub>4</sub> probe: JH<sub>4</sub>-F (5'-TATGCTATGGACTACTGG-3') and  $\mu$ E-R; S $\mu$ -S $\gamma$ 1 junctions: 5 $\mu$ 3 and  $\gamma$ 1-R (5'-CAATT-AGCTCCTGCTCTTCTGTGG-3'); JH4-intron: V<sub>H</sub>J588/FR3 (46) (5'-GGAATTCGCCTGACATCTGAGGACTCTGC-3') and  $\mu$ E-R.

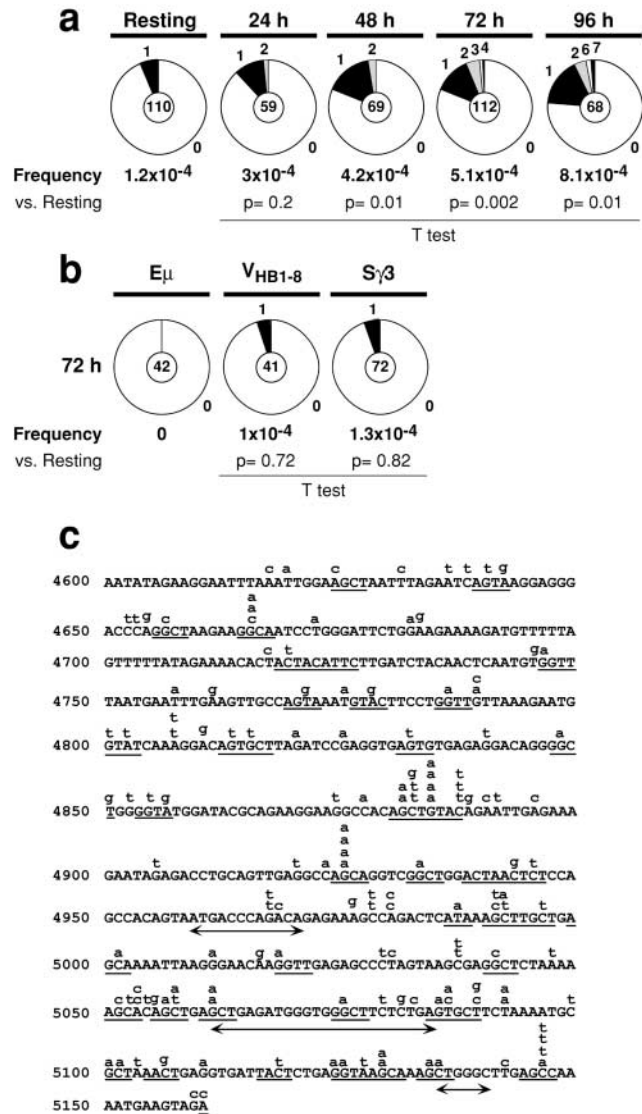
**Quantitative Real Time RT-PCR.** Total RNA was extracted with TRIzol (Invitrogen), reverse transcribed with random hexamers and superscript II reverse transcriptase (Invitrogen). 1st strand cDNA was used for SYBR Green fluorogenic dye real time PCR (Applied Biosystems). Specificity of the  $\mu$ ,  $\gamma$ 1,  $\gamma$ 1-CT, and GAPDH primers was determined using negative controls and by the analysis of dissociation curves. Serially diluted cDNA samples were used to estimate the efficiency (Ef) of each PCR, which was 0.96, 0.95, 0.98, and 0.97 for  $\mu$ ,  $\gamma$ 1,  $\gamma$ 1-CT, and GAPDH, respectively. Calculation of the fold differences was based on equation  $(1 + Ef_{GAPDH})^{Ct_{GAPDH} \times - Ct_{GAPDH} \text{ cal}} (1 + Ef_{\mu, \gamma 1, \text{ or } \gamma 1-CT})^{Ct_{\mu, \text{ or } \gamma 1 \text{ or } \gamma 1-CT} \times - Ct_{\mu \text{ (or } \gamma 1 \text{ or } \gamma 1-CT)} \times}$ , where Ct is the number of cycles at which the threshold of fluorescence is reached, x is a given sample, and cal is the calibrator used to nor-

malize all results (resting B cells). Primers were: GAPDH: (5'-TGAAGCAGGCATCTGAGGG-3') and (5'-CGAAGGT-GGAAGAGTGGGAG-3');  $\mu$  germline transcript: (5'-CTCG-GTGGCTTTGAAGGAAC-3') and (5'-TGGTGCTGGGCA-GGAAGT-3');  $\gamma 1$  germline transcript: (5'-TCGAGAAGCCT-GAGGAATGTG-3') and (5'-ATGGAGTTAGTTTGGGCA-GCA-3');  $\gamma 1$  circle transcript: (5'-TCGAGAAGCCTGA-GGAATGTG-3') and (5'-GAAGACATTTGGGAAGGACT-GACT-3').

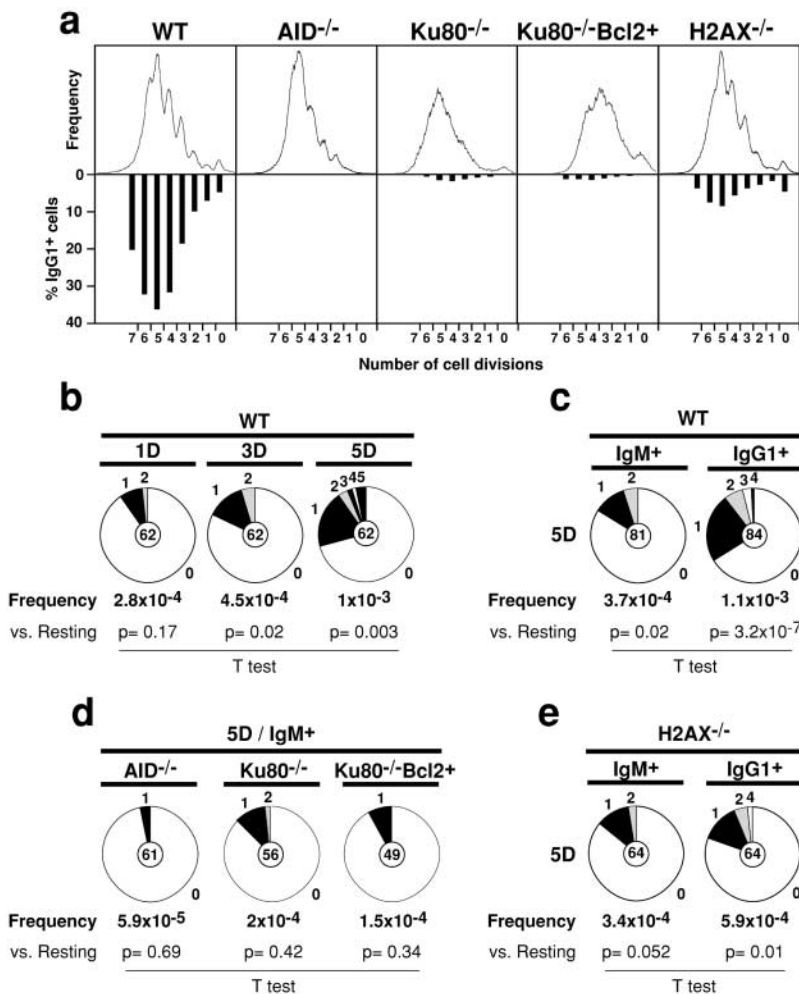
## Results

**Hypermutation in  $S\mu$  Requires *Ku80* and Is Linked to CSR.** DNA upstream of the  $S\mu$  core is mutated by an AID-dependent mechanism in B cells undergoing class switch recombination (24, 47). To further characterize this type of mutation we performed time course experiments on wild-type B cells stimulated with LPS and IL-4. A significant increase in mutation frequency in the  $S\mu$  region was found after 48 h. Mutations increased with time in culture accumulating at a rate of  $1.6 \times 10^{-4}$  mutations/bp/day (Fig. 1 a). This increase in mutation frequency was accompanied by a concomitant increase in the proportion of mutated sequences reaching a maximum of 23% at 96 h of stimulation (Fig. 1 a). Mutation was specific for the  $S\mu$  region (24, 47), as no significant increase in mutation frequencies in the  $\mu$  intronic enhancer, VH, or the IgG3 switch region ( $S\gamma 3$ ) was observed (Fig. 1 b). 141 mutations were analyzed and their distribution in the  $S\mu$  sequence is shown in Fig. 1 c. Consistent with previous reports (24, 47), 60% of the mutations were found within RGYW hotspot motifs (7, 8) and the nucleotide substitution preference (data not depicted) was similar to that reported for SHM (48). Thus, mutations induced by LPS and IL-4 resemble SHM (24, 47), are specific to  $S\mu$  and these mutations accumulate over time in culture.

To determine whether there is a relationship between mutations in  $S\mu$ , cell division, and CSR we labeled B cells with CFSE and analyzed mutation in cells sorted on the basis of surface expression of IgM or IgG1 and cell division (as determined by dye dilution). As described by others (49), the proportion of IgG1<sup>+</sup> cells increased proportionally with the number of cell divisions (Fig. 2 a). We found that increased cell division was also associated with increased mutations in  $S\mu$  (average of  $1.7 \times 10^{-4}$  mutations/bp/cell division; Fig. 2 b). The increase in mutation frequency with cell division is the result of an increase in the proportion of mutated clones as well as the number of mutations per clone (Fig. 2 b). Finally, mutations in  $S\mu$  accumulate at slightly higher rates in IgG1<sup>+</sup> cells (51 mutations/47,040 bp) than in IgM<sup>+</sup> B cells (17 mutations/45,356 bp; Fig. 2 c,  $P = 0.005$ ). AID<sup>-/-</sup> B cells proliferate as efficiently as wild-type but are unable to switch (22, 23) and their  $S\mu$  sequences remain unmutated (24, 47) even after multiple rounds of cell division (Fig. 2, a and d). We conclude that mutations in the  $S\mu$  region are coupled to cell division, that they occur in IgM<sup>+</sup> cells that failed to undergo CSR, but are found more frequently in cells that have undergone productive CSR.



**Figure 1.** Mutations in  $S\mu$ . (a) Time course of  $S\mu$  mutation in wild-type B cells stimulated with LPS and IL-4. The number of mutations was: resting B cells: 7 mutations/59,301 bp; 24 h: 9 mutations/30,060 bp; 48 h: 15 mutations/36,000 bp; 72 h: 32 mutations/62,720 bp; 96 h: 30 mutations/37,066 bp. (b) Proportion of  $\mu$  intronic enhancer ( $E\mu$ ),  $V_{HB1-8}$ , and  $S\gamma 3$  sequences carrying mutations after 72 h of stimulation with LPS and IL-4. The number of mutations was:  $\mu$  intronic enhancer: 0 mutations/22124 bp;  $S\gamma 3$ : 4 mutations/31812 bp and  $V_{HB1-8}$ : 2 mutations/20,427 bp. Analysis of  $V_{HB1-8}$  sequences was performed on B cells obtained from mice carrying a prearranged  $V_{HB1-8}$  gene (reference 45). Segment sizes in the pie charts are proportional to the number of sequences carrying the number of mutations indicated in the periphery of the charts. The frequency of mutations per bp sequenced and the total number of independent sequences analyzed is indicated underneath and in the center of each chart respectively. Statistical significance was determined by a two-tailed  $t$  test assuming unequal variance and comparing to background (Resting B cells).  $P$  values are indicated below each pie chart. (c) Distribution of point mutations in  $S\mu$ . The region sequenced is indicated with the first base corresponding to position 4600 in the  $S\mu$  germline sequence (GenBank/EMBL/DDDBJ accession no. J0040). Lower-case letters above the line indicate independent mutations (141 total). Mutations occurring at any base within the RGYW motif (references 7 and 8) were considered to be hotspot mutations. RGYW motifs containing mutations are underlined. A double head arrow underneath the line indicates deletions.



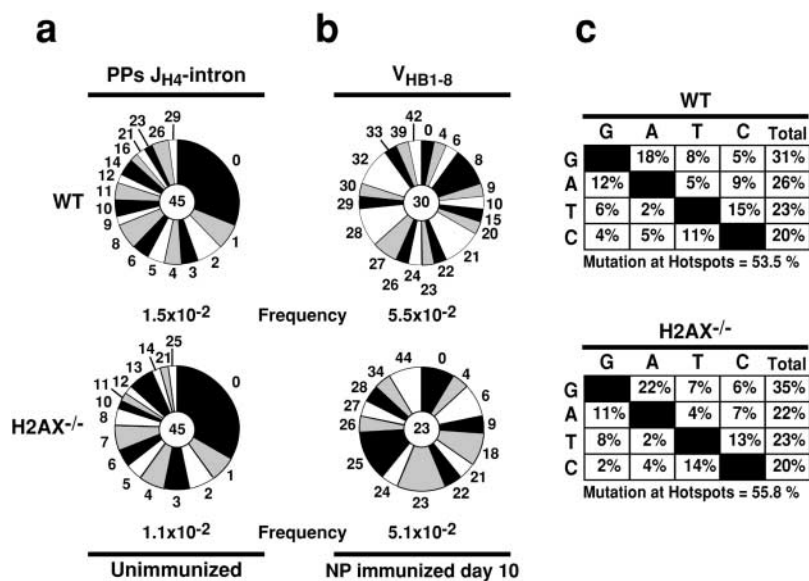
**Figure 2.** Analysis of CSR and mutations in S $\mu$  of wild-type, AID<sup>-/-</sup>, Ku80<sup>-/-</sup>, Ku80<sup>-/-</sup>Bcl2<sup>+</sup>, and H2AX<sup>-/-</sup> B cells. (a) Flow cytometric analysis of IgG1 expression on CFSE-labeled wild-type (WT), AID<sup>-/-</sup>, H2AX<sup>-/-</sup>, Ku80<sup>-/-</sup>, and Ku80<sup>-/-</sup>Bcl2<sup>+</sup> B cells stimulated with LPS plus IL-4 for 4 d. Cell division as measured by CFSE dye dilution is shown in the upper panel. The percentage of cells expressing IgG1 after a specific number of cell divisions is indicated on the lower panel. (b) S $\mu$  mutations in wild-type B cells sorted for 1, 3, or 5 cell divisions. The number of mutations was: 1 division, 7 mutations/33,306 bp; 3 divisions, 14 mutations/30,818 bp; 5 divisions, 33 mutations/32,460 bp. (c) S $\mu$  mutations in wild-type B cells sorted for 5 cell divisions and IgM or IgG1 cell surface expression. The number of mutations was: IgM<sup>+</sup>: 17 mutations/45,356 bp; IgG1<sup>+</sup>: 51 mutations/47,040 bp. (d) S $\mu$  mutations in AID<sup>-/-</sup>, Ku80<sup>-/-</sup>, and Ku80<sup>-/-</sup>Bcl2<sup>+</sup> B cells sorted for 5 cell divisions and expressing IgM. The number of mutations was: AID<sup>-/-</sup>, 2 mutations/34,124 bp; Ku80<sup>-/-</sup>, 7 mutations/31,360 bp; Ku80<sup>-/-</sup>Bcl2<sup>+</sup>, 4 mutations/27,740 bp. (e) S $\mu$  mutations in H2AX<sup>-/-</sup> B cells sorted for 5 cell divisions and IgM or IgG1 cell surface expression. The number of mutations was: IgM<sup>+</sup>: 12 mutations/35,810 bp; IgG1<sup>+</sup>: 20 mutations/33,946 bp. Pie charts and statistics are as in Fig. 1.

To determine whether NHEJ is required for S $\mu$  mutation we reconstituted the B cell compartment in Ku80 deficient (Ku80<sup>-/-</sup>) or Ku80<sup>-/-</sup> Bcl2 transgenic mice (Ku80<sup>-/-</sup>Bcl2<sup>+</sup>) with prerecombined heavy and light chain genes (12, 44). LPS and IL-4 treatment caused proliferation and cell death in Ku80<sup>-/-</sup> B cells and Ku80<sup>-/-</sup>Bcl2<sup>+</sup> B cells and CSR was severely impaired (11, 12) even in cells that had undergone several rounds of cell division (Fig. 2 a). Live Ku80<sup>-/-</sup> and Ku80<sup>-/-</sup>Bcl2<sup>+</sup> B cells that had completed 5 cell divisions and were positive for cell surface IgM expression were sorted for S $\mu$  sequence analysis. In contrast to wild-type, Ku80<sup>-/-</sup> and Ku80<sup>-/-</sup>Bcl2<sup>+</sup> B cells showed no increase in mutation in S $\mu$  with cell division (Fig. 2 d). We conclude that S $\mu$  mutation is Ku80 dependent.

**Hypermutation Does Not Require H2AX.** To determine whether H2AX is required for S $\mu$  mutation we analyzed H2AX<sup>-/-</sup> B cells stimulated with LPS and IL-4. We found similar levels of S $\mu$  mutation in H2AX<sup>-/-</sup> and control B cells that had completed 5 cell divisions (Fig. 2 e). To examine the role of H2AX in IgV gene somatic hypermutation we compared germinal center B cells (B220<sup>+</sup>Fas<sup>+</sup>GL-7<sup>+</sup>) from H2AX<sup>-/-</sup> and control mice.

Analysis of the J<sub>H4</sub>-intron sequences (46) revealed no differences between H2AX<sup>-/-</sup> and wild-type PP's germinal center cells in mutation frequencies or in the proportion of mutated clones (Fig. 3 a). A similar result was also obtained by analyzing V<sub>HBI-8</sub> gene sequences from sorted germinal center B cells obtained from the lymph nodes of H2AX<sup>-/-</sup> and control mice immunized with NP-CGG (Fig. 3 b), and there was no significant bias in the nucleotide substitution patterns found in V<sub>HBI-8</sub> genes cloned from wild-type and H2AX<sup>-/-</sup> germinal center B cells (Fig. 3 c). We conclude that H2AX is not required for IgV gene SHM or S $\mu$  mutation and that H2AX deficiency results in a CSR specific defect.

**Switch Region Accessibility Is Normal in the Absence of H2AX.** CSR is reduced in the absence of H2AX but the nature of the defect has not been determined (24, 36). We used real time PCR to measure the  $\mu$  and  $\gamma$ 1 preswitch sterile transcripts and the post-switch  $\gamma$ 1 circle transcript (50) before and after stimulation with LPS and IL-4 over a 72 h time course (Fig. 4). Induction of  $\mu$  and  $\gamma$ 1 sterile transcripts was similar in H2AX<sup>-/-</sup> and control B cells (Fig. 4, a and b). In wild-type B cells the  $\gamma$ 1 sterile transcript level dropped off while the  $\gamma$ 1 circle transcript in-

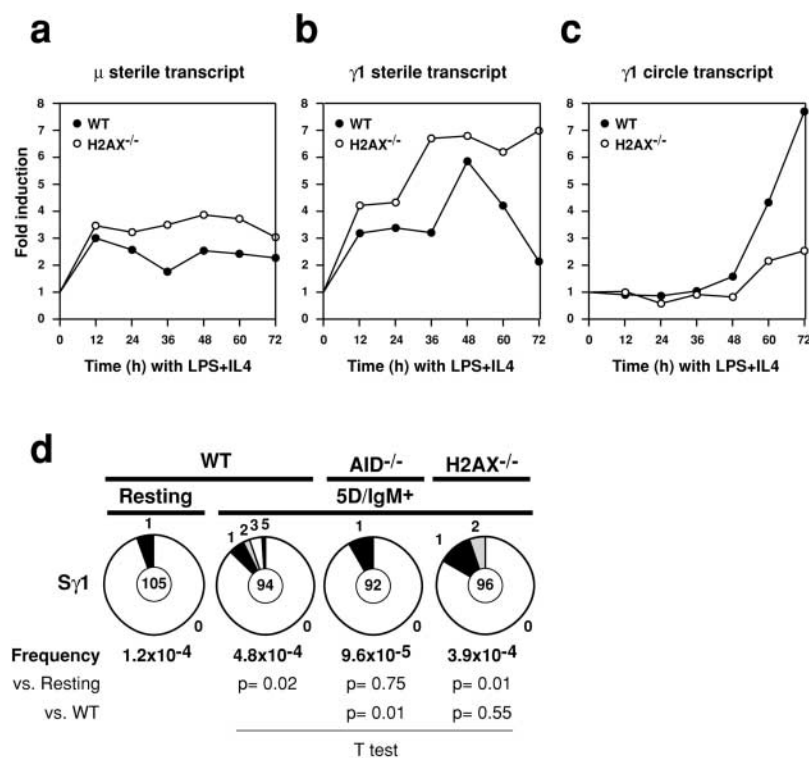


**Figure 3.** Somatic hypermutation is unaffected in the absence of H2AX. (a) Wild-type and H2AX<sup>-/-</sup> germinal center B cells (B220<sup>+</sup>Fas<sup>+</sup>GL-7<sup>+</sup>) obtained from the PPs of unimmunized mice. Pie charts show proportion of J<sub>H</sub>4-intron sequences carrying different number of mutations. (b) Wild-type and H2AX<sup>-/-</sup> GC B cells (B220<sup>+</sup>Fas<sup>+</sup>GL-7<sup>+</sup>) from lymph nodes of NP-immunized mice. Pie charts show proportion of V<sub>HB1-8</sub> sequences carrying different number of mutations. (c) Percent nucleotide substitutions in V<sub>HB1-8</sub> from GC B cells adjusted for base composition. Percentage of mutations within hotspot motifs is indicated underneath each panel. Total number of mutations analyzed was: wild-type = 653 mutations; H2AX<sup>-/-</sup> = 475 mutations. Pie charts and statistics are as in Fig. 1.

creased with time in culture, presumably due to recombinational deletion of the I promoter and exon and concomitant switch circle formation during CSR (Fig. 4, b and c). In contrast, the  $\gamma 1$  sterile transcription remained elevated in H2AX<sup>-/-</sup> B cells and the  $\gamma 1$  circle transcript was only modestly increased, consistent with decreased CSR in the absence of H2AX (24, 36; Fig. 4, b and c). We conclude that sterile transcription of the IgM and IgG1 switch regions and its effect on switch region accessibility is not altered in the absence of H2AX (24). Furthermore, the target

switch regions continue to be transcribed in H2AX<sup>-/-</sup> B cells in culture despite inefficient CSR.

To determine whether H2AX plays a direct role in regulating accessibility of switch regions to AID we analyzed mutations in Sy1 in the presence or absence of H2AX. Wild-type, H2AX<sup>-/-</sup>, and AID<sup>-/-</sup> IgM<sup>+</sup> B cells that had completed 5 cell divisions after stimulation with LPS and IL-4 were isolated by cell sorting and assayed for Sy1 mutation (Fig. 4 d). We found a significant accumulation of point mutations in sequences from wild-type B cells (26



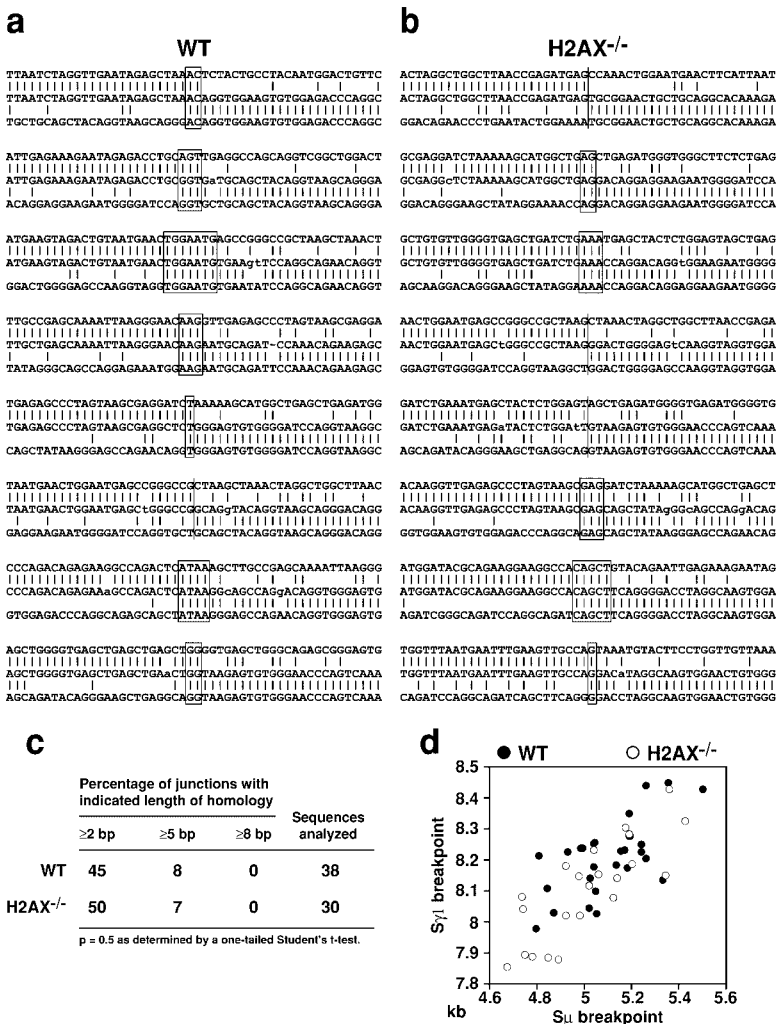
**Figure 4.** Switch region accessibility is normal in the absence of H2AX. Real time RT-PCR for (a)  $\mu$  sterile transcript, (b)  $\gamma 1$  sterile transcript, and (c)  $\gamma 1$  circle transcript in wild-type (solid circles) and H2AX<sup>-/-</sup> (open circles) B cells stimulated with LPS and IL-4 over 3 d. Mean results from two independent cultures are expressed as fold induction relative to resting B cells. (d) Proportion of mutations in the Sy1 5' region in wild-type, AID<sup>-/-</sup>, and H2AX<sup>-/-</sup> B cells stimulated with LPS and IL-4 and sorted for IgM surface expression and 5 divisions. The number of mutations was: Resting B cells, 5 mutations/59,301 bp; wild-type, 26 mutations/53,624 bp; AID<sup>-/-</sup>, 5 mutations/52,164 bp; H2AX<sup>-/-</sup>, 21 mutations/53,838 bp. Pie charts and statistics are as in Fig. 1.

mutations/53624 bp,  $P = 0.02$  vs. background) and H2AX<sup>-/-</sup> B cells (21 mutations/53,838 bp,  $P = 0.01$  vs. background) but not AID<sup>-/-</sup> B cells (5 mutations/52,164 bp,  $P = 0.7$  vs. background). We conclude that AID-mediated lesions are induced in S $\mu$ 1 during CSR and that these can occur in the absence of H2AX. Therefore, impaired switching in H2AX<sup>-/-</sup> B cells is not due to impaired access of AID to S $\mu$ 1.

**CSR Junctions Are Normal in the Absence of H2AX.** In the absence of NHEJ, large deletions and significant increases in the length of microhomology of recombination junctions are found (51, 52). Although CSR is inefficient in the absence of H2AX some cells do succeed in producing IgG1 in response to LPS and IL-4. To determine whether CSR junctions were normal in the absence of H2AX we compared IgG1 CSR junctions from H2AX<sup>-/-</sup> ( $n = 30$  junctions) and wild-type ( $n = 38$  junctions) B cells. We found no significant differences in the amount of donor/acceptor homology at the junctions (the average length of overlap was 1.8 bp in H2AX<sup>-/-</sup> and 1.7 bp in controls; Fig. 5, a–c). Mutation frequency in the vicinity of the junctions ( $\pm 50$  bp) was lower in H2AX<sup>-/-</sup> ( $1.6 \times$

$10^{-2}$ ) than in wild-type ( $2.6 \times 10^{-2}$ ); however, this difference was not statistically significant as determined by a two-tailed  $t$  test ( $P = 0.69$ ). Furthermore, the breakpoint distribution in S $\mu$  and S $\gamma$ 1 measured by scatter analysis was similar in H2AX<sup>-/-</sup> and control cells (Fig. 5 d). We conclude that switch recombination junctions are unaffected in H2AX<sup>-/-</sup> B cells, suggesting that DNA ends are processed normally by NHEJ during CSR in the absence of H2AX.

**CSR-induced S $\mu$  Internal Deletions Are Dependent on AID and Ku80 but Not H2AX.** B cells stimulated to undergo CSR suffer frequent AID dependent internal S $\mu$  deletions (17, 53–56). To determine whether these are H2AX dependent and repaired by NHEJ we examined the switch regions in hybridomas from wild-type, AID<sup>-/-</sup>, H2AX<sup>-/-</sup>, Ku80<sup>-/-</sup>, and Ku80<sup>-/-</sup>Bcl2<sup>+</sup> B cells. Hybridomas were produced from B cells stimulated with LPS and IL-4 to divide in vitro and IgM secretors were selected by ELISA for analysis by Southern blotting (Figs. 6 and 7). Consistent with published reports (56), structural alterations in S $\mu$  were detected in 9 out of 51 wild-type hybridomas (18%; Fig. 6 a). The changes in S $\mu$  were AID dependent (56), as all of the 49 AID<sup>-/-</sup>-derived hybridomas were in germline



**Figure 5.** Switch recombination junctions are normal in the absence of H2AX. S $\mu$ -S $\gamma$ 1 switch recombination junctions from wild-type (a) and H2AX<sup>-/-</sup> (b) B cells. Overlap was determined by identifying the longest region at the switch junction of perfect uninterrupted donor/acceptor identity. The S $\mu$  and S $\gamma$ 1 germline sequences are shown above and below each junction sequence respectively. Lower-case letters indicate mutations, (|) indicates identity between nucleotides and (-) indicates a deletion. Homology at the junctions is boxed. (c) Length of microhomologies at S $\mu$ /S $\gamma$ 1 junctions in wild-type and H2AX<sup>-/-</sup> B cells. (d) Scatter analysis of the  $\mu$ / $\gamma$ 1 breakpoints derived from in vitro-stimulated B cells. The axes indicate the position relative to GenBank/EMBL/DDBJ sequences J00440 (S $\mu$ ) and D78340 (S $\gamma$ 1). Open circles denote breakpoints from H2AX<sup>-/-</sup>, filled circles from wild-type controls.

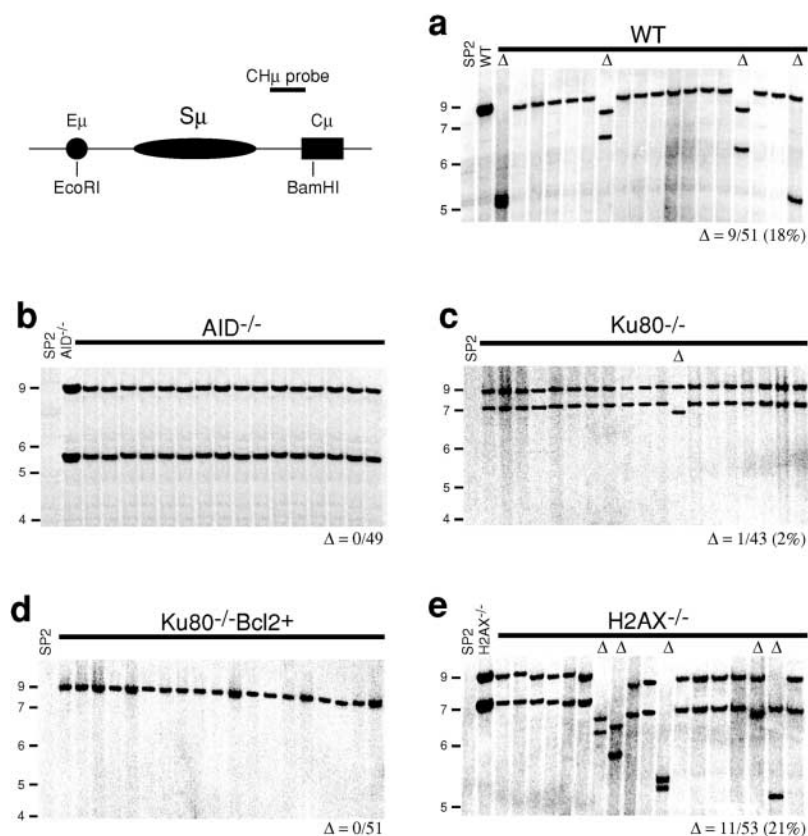
configuration as assessed by 5' and 3' probes (Fig. 6 b, data not depicted). A similar defect in intra-switch region recombination was observed in the analysis of the hybridomas derived from Ku80-deficient B cells. Only 1 of the 43 Ku80<sup>-/-</sup> hybridomas (Fig. 6 c) and none of the 51 Ku80<sup>-/-</sup> Bcl2<sup>+</sup> hybridomas showed structural alterations in S $\mu$  (Fig. 6 d). In contrast, the rate of S $\mu$  alteration in H2AX<sup>-/-</sup> hybridomas was similar to wild-type, 11 out of 53 hybridomas showed deletions or insertions (21%; Fig. 6 e). Sy1 differed from S $\mu$  in that Sy1 was always in the germline configuration (Fig. 7), suggesting that targeting of Sy1 is either less frequent or occurs as part of a cleavage coupled repair reaction that leads to authentic CSR. Although no large deletions were observed in Sy1, it is possible that smaller deletions are induced upon stimulation; however, this is difficult to assess by PCR and sequencing due to the repetitive nature of the Sy1 sequence. We conclude that recombination within S $\mu$  induced by LPS and IL-4 resembles authentic CSR in that it is induced by AID and repaired by a Ku80-dependent pathway. Like SHM, intra-switch region recombination differs from CSR in that it proceeds normally in the absence of H2AX.

## Discussion

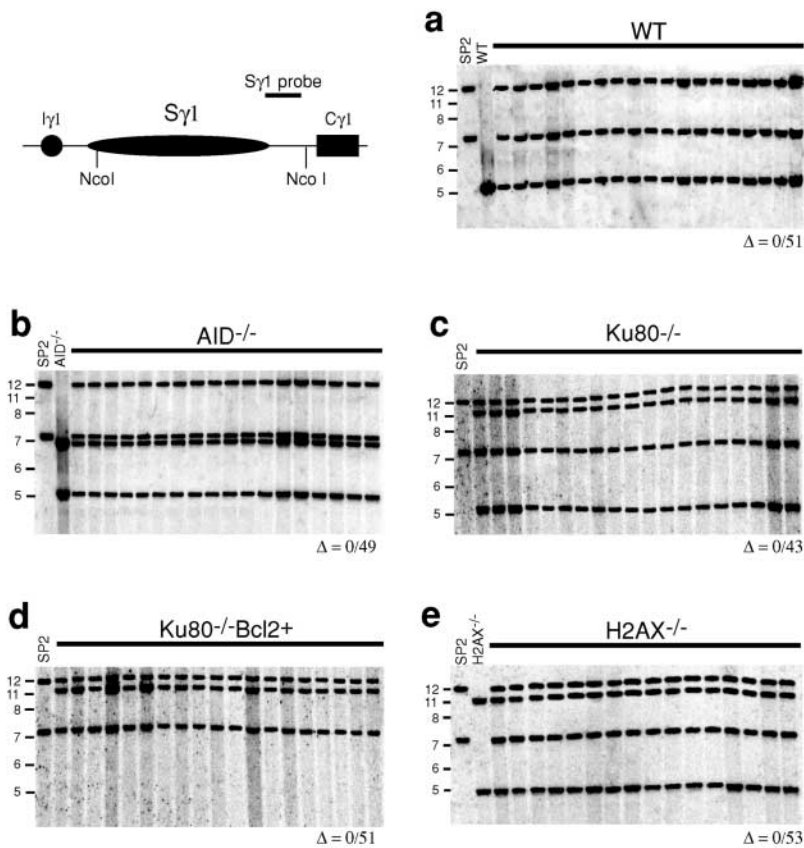
Somatic hypermutation and class switch recombination are linked by a requirement for AID (22, 23), which is believed to initiate these DNA modification reactions by deaminating cytidine residues in DNA (25–27). Tran-

scription facilitates the targeting step of the CSR and SHM reactions by exposing single stranded DNA, which is the substrate for AID (29, 30, 30a, 30b) and the efficiency of both CSR and SHM correlates directly with the rate of transcription (57–60). Histone modifications such as acetylation and methylation alter transcription by modifying chromatin structure (61, 62). Similarly, histone H2AX phosphorylation is thought to alter chromatin structure (63, 64) and this modification could alter transcription. However, H2AX deficiency does not have an impact on transcription of switch regions (24), or the accessibility of switch regions to AID as measured by mutation, or S $\mu$  intra-switch region recombination. Finally, neither the rate nor distribution of SHM is affected by the absence of H2AX. Thus, H2AX is entirely dispensable for the targeting stage and initial lesion formation in the CSR and SHM reactions.

The AID mediated U:G mismatches that trigger the CSR reaction are thought to be recognized and processed by uracyl-DNA glycosylase and components of the mismatch repair pathway including MSH2, PMS2, and MLH1 (26, 65–68). In the absence of any of these factors CSR is impaired. In addition, MLH1 or PMS2 deficiency leads to an increase in the length of homology of CSR junctions whereas the absence of MSH2 affects local sequence specificity and focusing of CSR junctions to consensus motifs (65–68). These DNA repair factors are also thought to play a role in the SHM reaction because in their absence there is an altered spectrum of mutation in Ig V genes (69–73). In



**Figure 6.** Resolution of S $\mu$  internal deletions is independent of H2AX but requires AID and Ku80. Southern blot analysis of the S $\mu$  region in IgM secreting hybridomas derived from (a) wild-type (WT), (b) AID<sup>-/-</sup>, (c) Ku80<sup>-/-</sup>, (d) Ku80<sup>-/-</sup>Bcl2<sup>+</sup>, and (e) H2AX<sup>-/-</sup> B cells. Restriction enzymes and probes used are indicated in the top left panel.  $\Delta$  indicates deletions in S $\mu$ . The SP2/0Ag-14 (SP2) cell line has a deletion in C $\mu$  and shows no hybridization. The same deletions in S $\mu$  were found using an E $\mu$  probe (unpublished data). Number of deletions over hybridomas screened is indicated below each panel. Molecular weight markers in kilobase pairs are indicated on the left side of each panel.



**Figure 7.** The Sy1 locus is intact in IgM secreting hybridomas stimulated for CSR. Southern blot analysis of the Sy1 region in IgM secreting hybridomas from (a) wild-type (WT), (b) AID<sup>-/-</sup>, (c) Ku80<sup>-/-</sup>, (d) Ku80<sup>-/-</sup>Bcl2<sup>+</sup>, and (e) H2AX<sup>-/-</sup> mice. Restriction enzymes and probes used are indicated in the top left panel. Molecular weight markers in kilobase pairs are indicated on the left side of each panel. Number of deletions over hybridomas screened is indicated below each panel.

contrast, we found no alteration in CSR junctional profiles or SHM in H2AX<sup>-/-</sup> B cells. Therefore, H2AX alters the efficiency of the CSR reaction but it does not have a role in processing of DNA ends before joining.

CSR is a deletional recombination reaction and therefore dsDNA breaks must be intermediates in the reaction (26, 74, 75). As isotype switching is impaired in mice that lack Ku80, or Ku70, or DNA-PKcs these breaks are thought to be repaired by the NHEJ pathway (10–12). However, the requirement for DNA-PKcs is not absolute (76, 77) and the potential impact of altered cell division in absence of Ku was never assessed (11, 12). Our experiments support the idea that NHEJ is required for CSR independently of a possible role for Ku in cell division because Ku80<sup>-/-</sup> B cells, which divide in response to LPS and IL-4 are unable to complete the CSR reaction as measured by IgG1 expression or intra-switch region recombination. We propose that B cells that produce dsDNA breaks in the absence of Ku80 are unable to repair these lesions and die by apoptosis. However, not all cells undergo a CSR reaction in response to LPS and IL-4 and those cells that divide but fail to produce dsDNA breaks retain their S $\mu$  DNA in the germline configuration.

Finding that S $\mu$  mutations are absent in Ku80<sup>-/-</sup> B cells exposed to LPS and IL-4 was surprising because DNA-PKcs and Ku70 are not required for SHM in chicken DT40 cells (78). Indeed, it has been proposed that NHEJ is not involved in repairing the DNA lesions that lead to

SHM (79). We have not been able to measure Ig V region mutation in Ku80<sup>-/-</sup> mice because they lack T cells and therefore cannot produce germinal centers, which are required for efficient SHM. Thus, it is a distinct possibility that Ku80 is required for S $\mu$  mutation but not Ig V region SHM. The dependence of S $\mu$  mutations on Ku80 could be explained if these mutations were associated with repair of dsDNA breaks that occur in CSR. This explanation would be consistent with the increased number of such mutations found at CSR junctions (80–83) and in the switch regions of B cells that have undergone internal S $\mu$  deletions (56).

H2AX phosphorylation promotes the assembly of repair factors into nuclear foci localized at sites of DNA damage (36, 42, 43, 84). One potential explanation for impaired CSR in H2AX<sup>-/-</sup> B cells would be the inefficient assembly of DNA repair factors. However, AID induced internal deletions in S $\mu$  occur at wild-type frequencies in H2AX<sup>-/-</sup> B cells and we found no aberrant recombination by Southern blot (5' and 3' probes hybridize with the same DNA fragments; Fig. 6, and data not depicted). In addition, fluorescence in situ hybridization using a combination of IgH variable and constant region specific probes and whole painting of chromosome 12 showed no evidence of translocations (unpublished data). Chromosomal translocations are frequent in H2AX<sup>-/-</sup> fibroblasts but such translocations have not been detected in B cells possibly because they lead predominantly to cell death. Finally, switch region mutation was found at wild-type frequencies in both



$\mu$  and  $\gamma$ 1. Thus, the DNA lesions that trigger the CSR reaction are resolved efficiently in the absence of H2AX. Consistent with these results, we have found that although higher order assembly into nuclear foci is dependent on  $\gamma$ -H2AX, absence of H2AX does not affect the initial recruitment of factors to dsDNA breaks (84a).

If AID targeting and repair factor recruitment to switch regions are both normal in H2AX<sup>-/-</sup> B cells, why is CSR impaired in the absence of H2AX? Histone H2AX phosphorylation is propagated over large distances in the genome (41) and  $\gamma$ -H2AX foci are found at sites of DNA damage in eukaryotic cells after exposure to ionizing radiation (40, 41), during meiotic recombination (85), V(D)J recombination (86), and class switch recombination (24, 36). The role of focus formation in DNA repair has yet to be determined but absence of H2AX leads to genomic instability and widespread chromosomal abnormalities (36, 43). Phosphorylation of H2A in yeast induces a change in chromatin structure that facilitates DNA repair (63). Moreover, H2AX phosphorylation is essential for the condensation and synapsis of the sex chromosomes during male meiosis, as well as for preventing the premature separation of broken ends during replication and in response to  $\gamma$ -irradiation (64). Thus, modification of the H2AX tail by phosphorylation may change the overall structure of the chromosomal domain at the site of a dsDNA break. We propose that during CSR, modification of the COOH-terminal tail of H2AX by phosphorylation alters the overall structure of the nucleosome at the site of a dsDNA break and throughout the constant region of the Ig heavy chain locus. In this model, chromatin conformational changes induced by H2AX phosphorylation would facilitate switch region synapsis and therefore class switch recombination.

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